

Testing without requirements?

REFSQ 2018, Utrecht, The
Netherlands

Tanja E.J. Vos

Test*



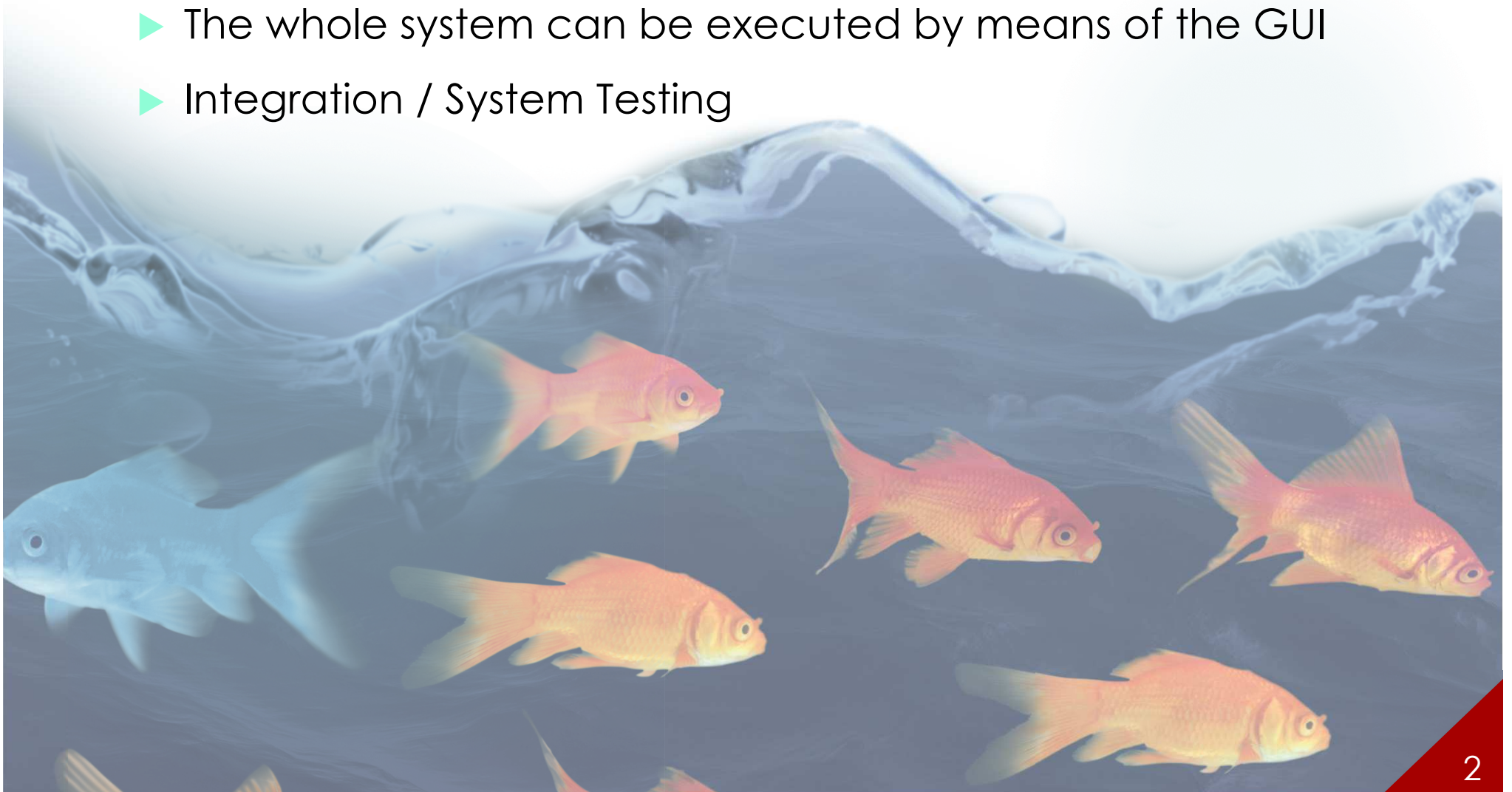
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Centro de Investigación en Métodos
de Producción de Software

Testing at the GUI Level

- ▶ GUI is where all functionality comes together
 - ▶ Interacts with the underlying code
 - ▶ The whole system can be executed by means of the GUI
 - ▶ Integration / System Testing



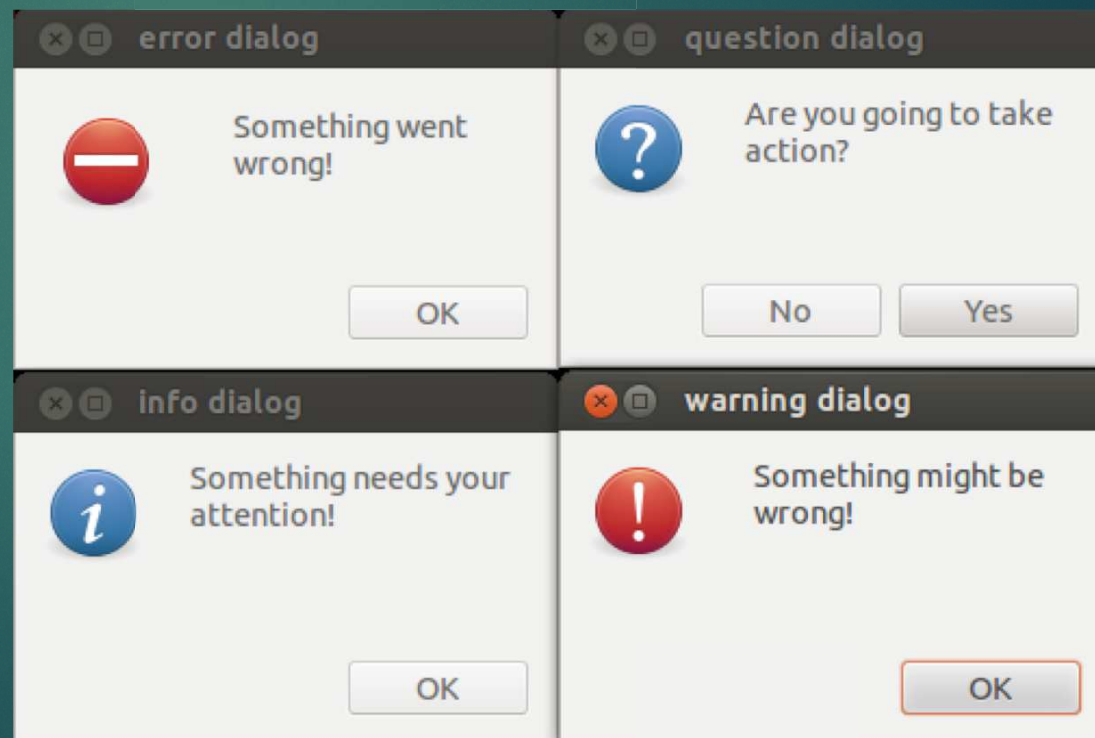
Testing at the GUI Level

- ▶ Most applications have GUIs
 - ▶ Computers, tablets, smartphones....
 - ▶ Even safety critical applications



Testing at the GUI Level

- ▶ Faults that arise at UI level are important
 - ▶ These are what your client finds
 - ▶ GUI tests from their perspective!

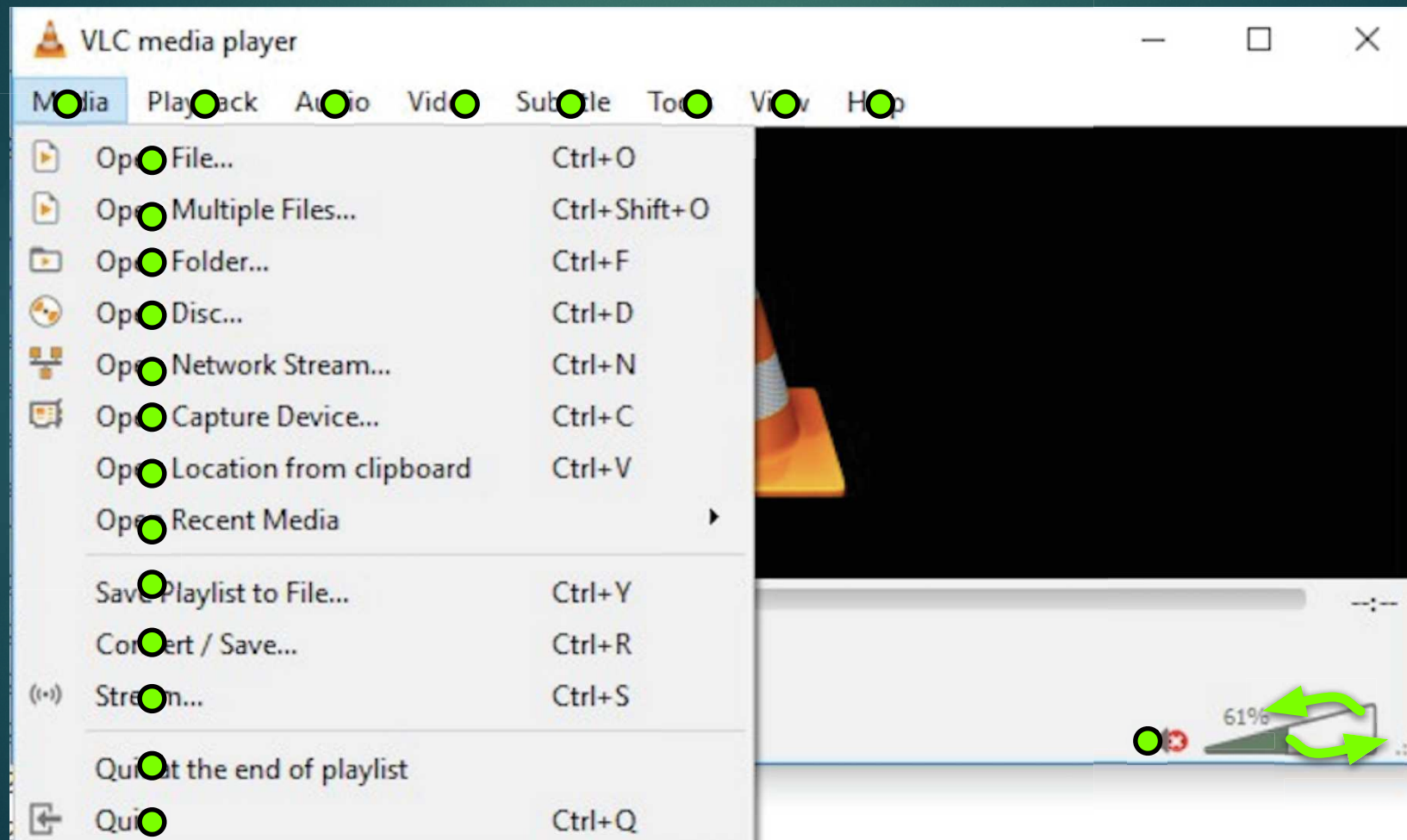


What is a GUI?

5

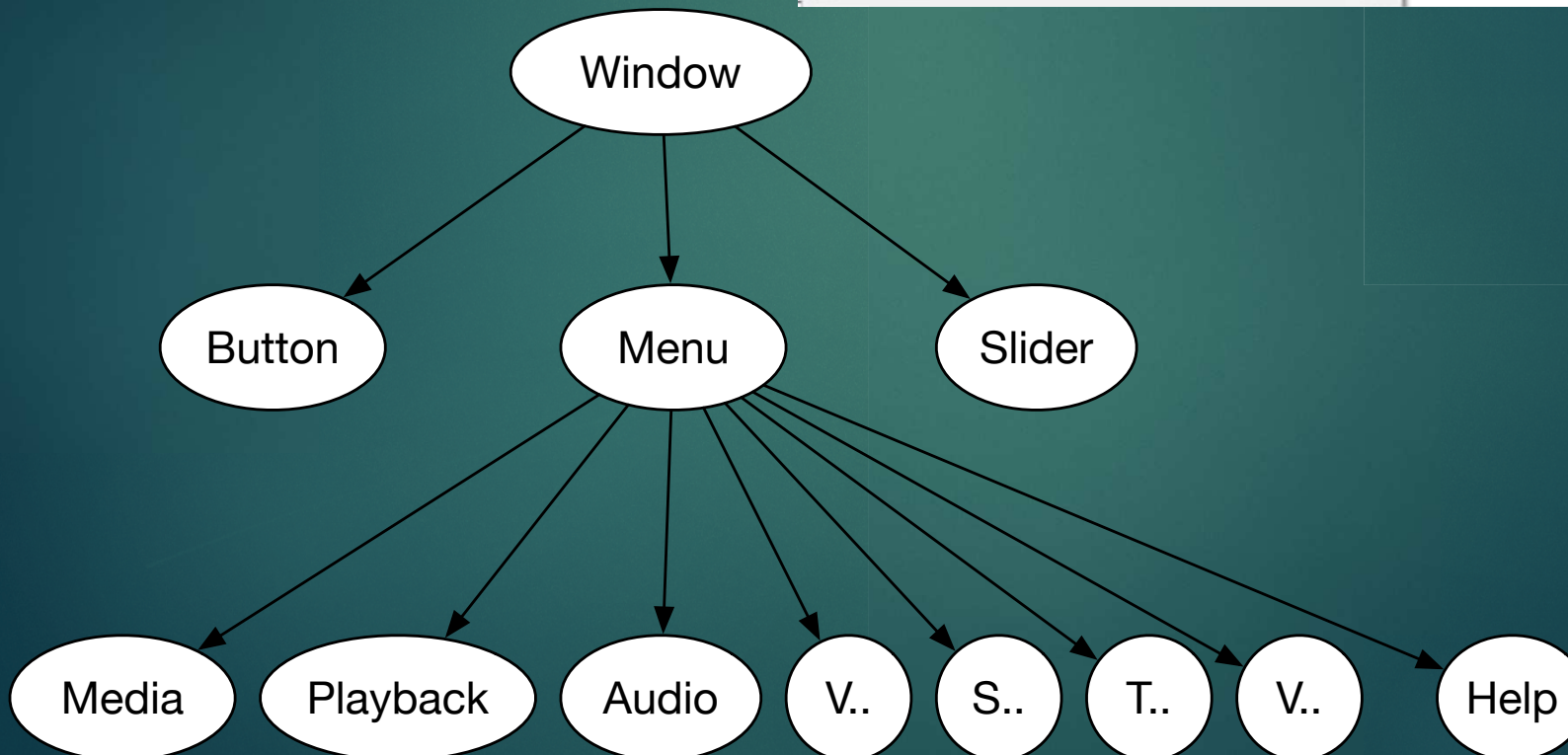
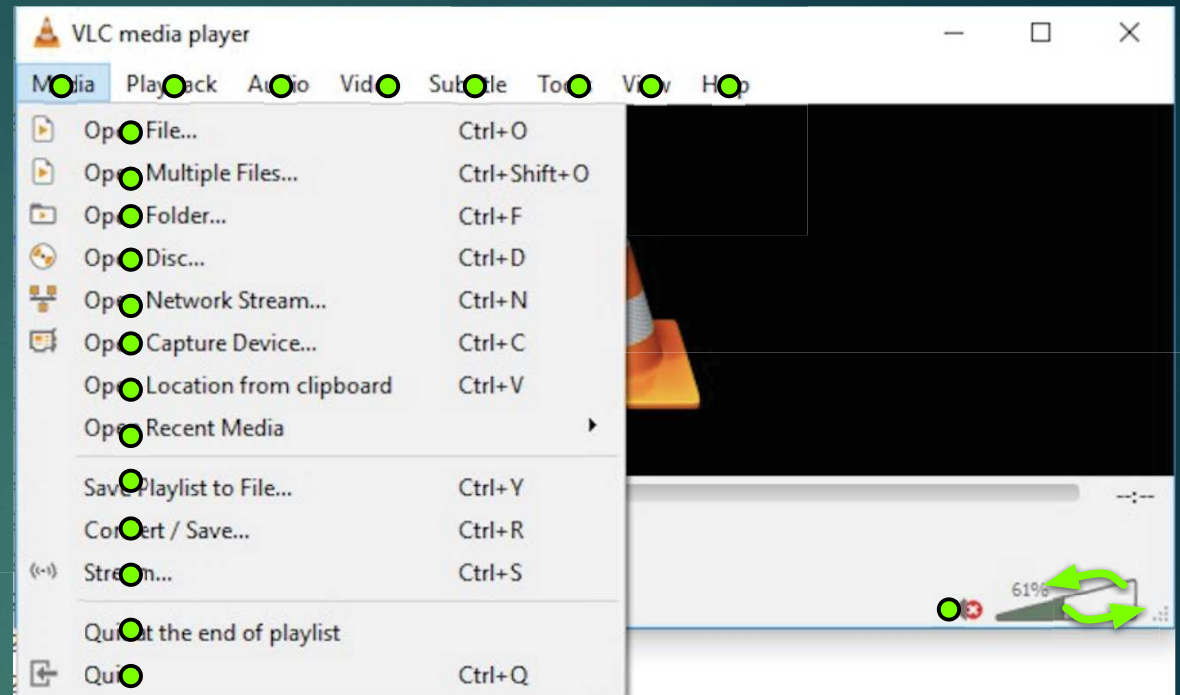
Contains graphical objects w, called **widgets**

- ▶ Menus, textboxes, buttons, scrollbars

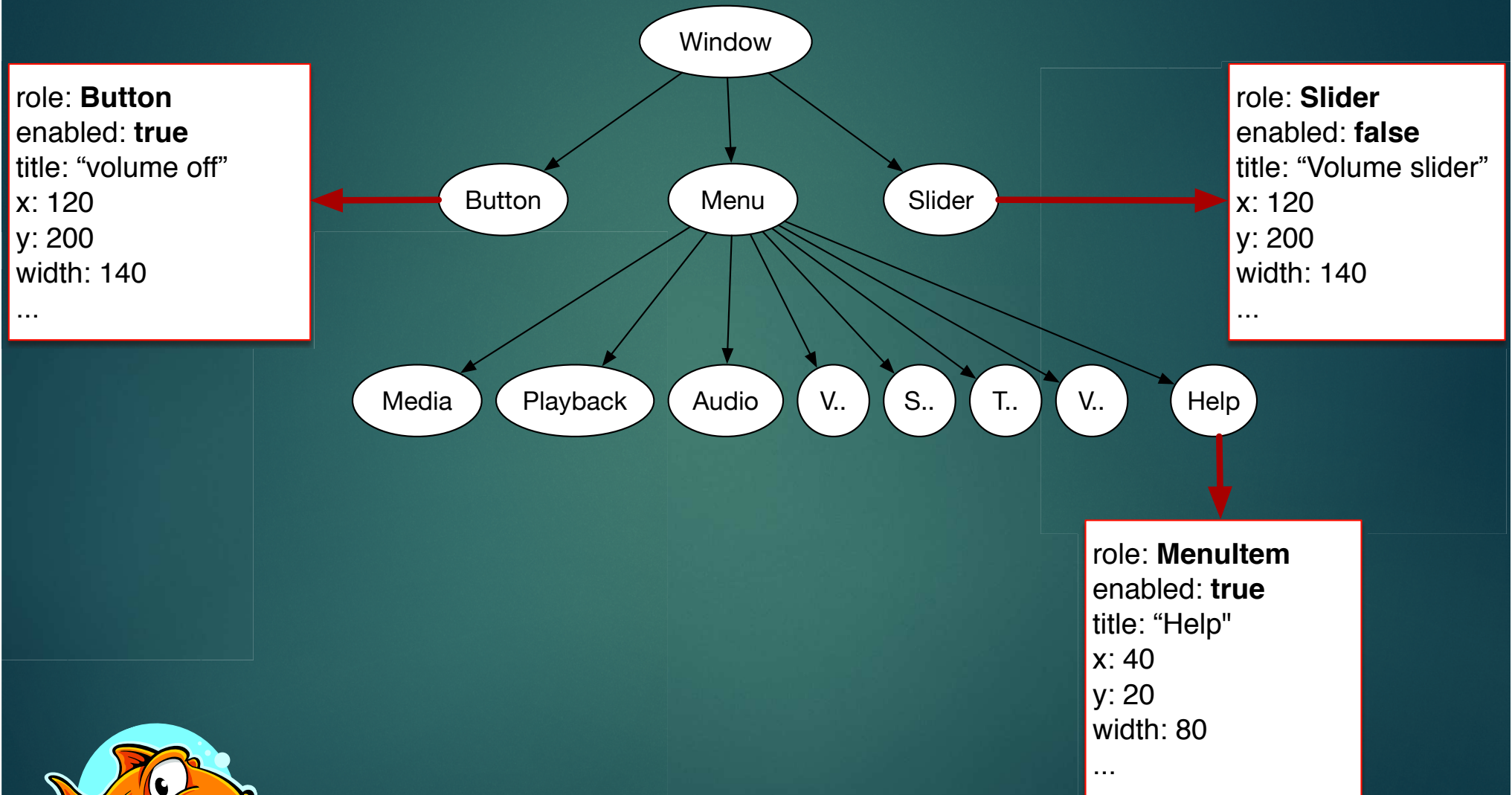


Widgets

form a hierarchy
the **widget-tree**



Widgets have **properties** p
which have values v at run-time.



GUI state

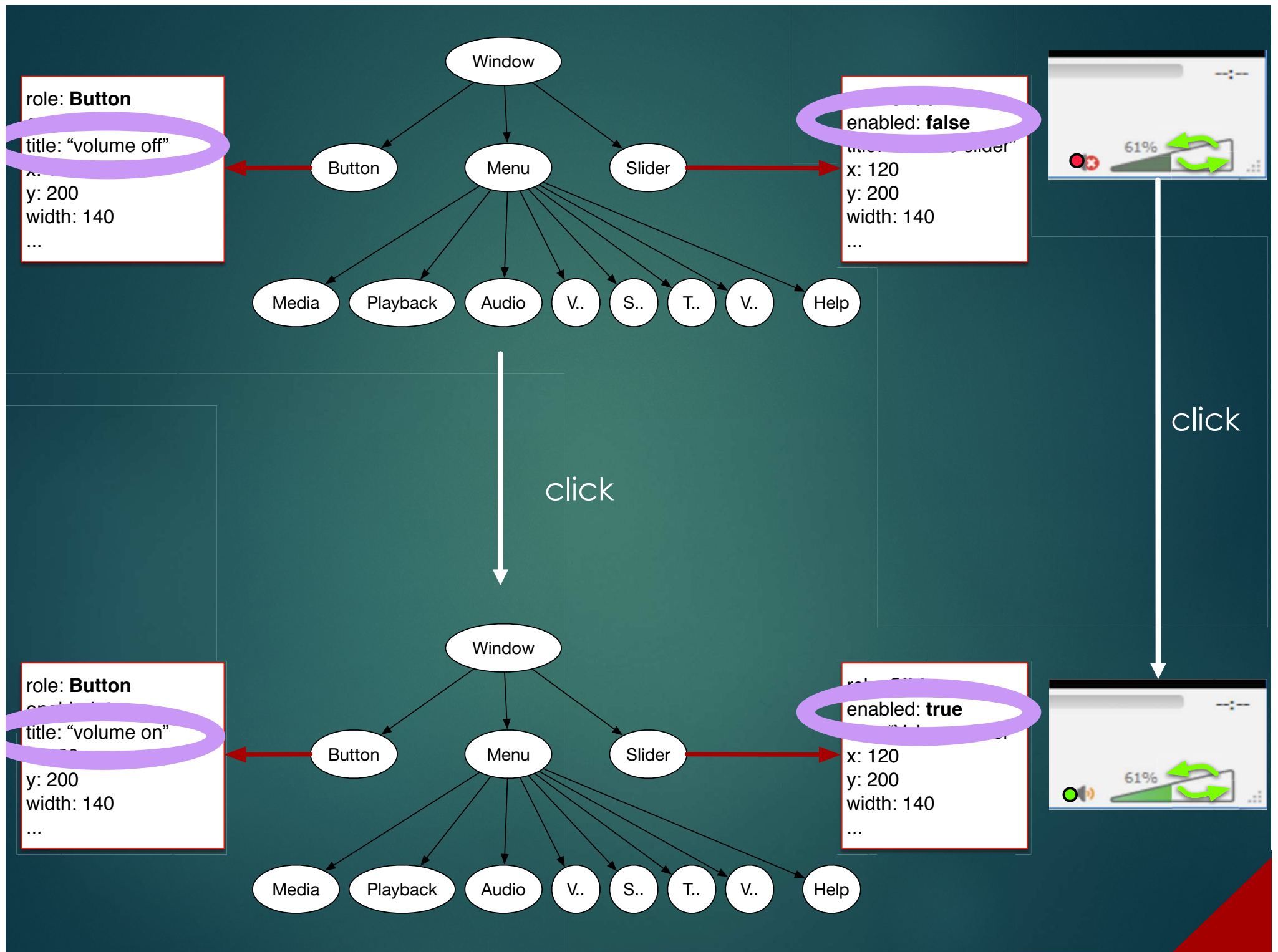


- ▶ The widget tree
- ▶ + the values of the properties of each widget

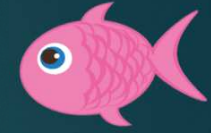
GUI action

- ▶ Users can exercise actions (click, type, drag, drop,...)
- ▶ These cause a state change





What is GUI testing



**Specify test
sequences**



Specify oracle

- ▶ Sequences of GUI actions
 - ▶ Click, drag, drop, type
 - ▶ Provide inputs where needed (e.g., filling text fields)
- ▶ The test oracle
 - ▶ The correct states after execution of each action

Together they test a requirement

What is GUI testing

```
graph TD; A[Specify test sequences] --> B[Specify oracle];
```

Specify test sequences

Specify oracle

Step 1

Open MS Word

Step2

Click on menu View

Step 3

Click on Media Browser

Step 4

Select a picture and drag into the document

After each step:

- No failure has occurred
- No error message has popped-up

After last step:

- The picture is in the doc

Step 1



Open MS Word

Step 2

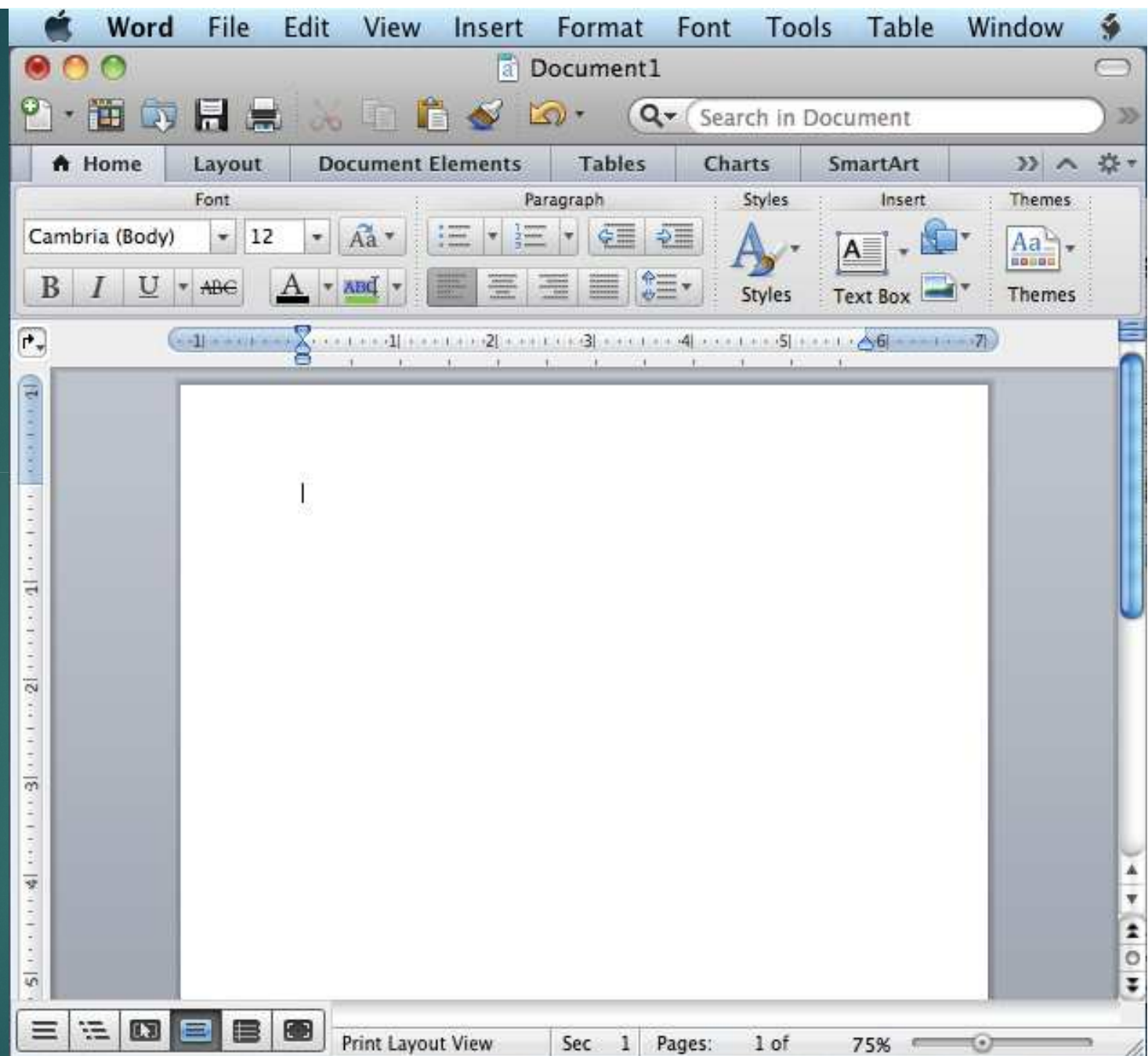
Click on menu
View

Step 3

Click on Media
Browser

Step 4

Select a picture
and drag into the
document



Step 1

Open MS Word

Step 2

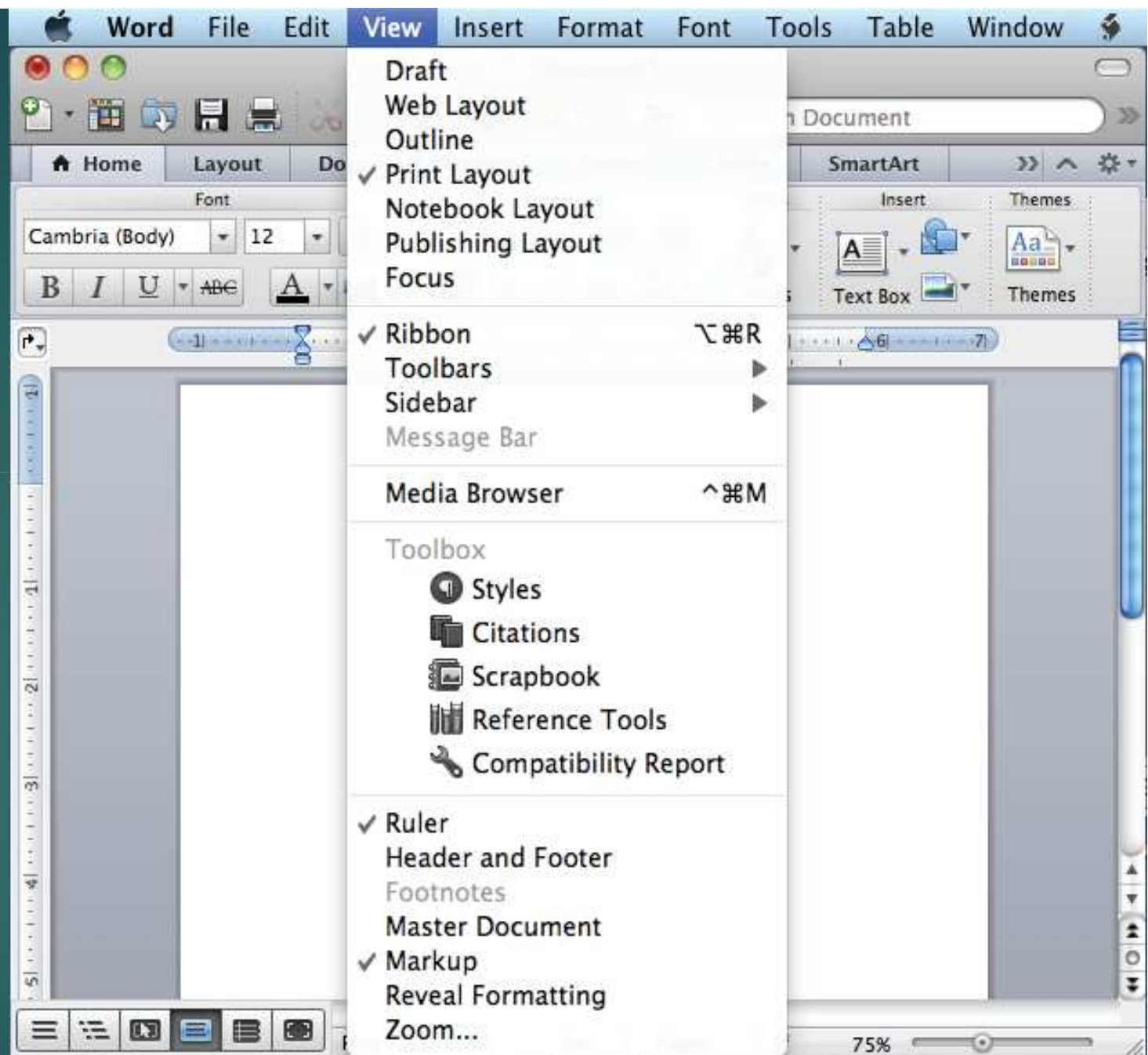
Click on menu
View

Step 3

Click on Media
Browser

Step 4

Select a picture
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Step 1

Open MS Word

Step 2

Click on menu
View

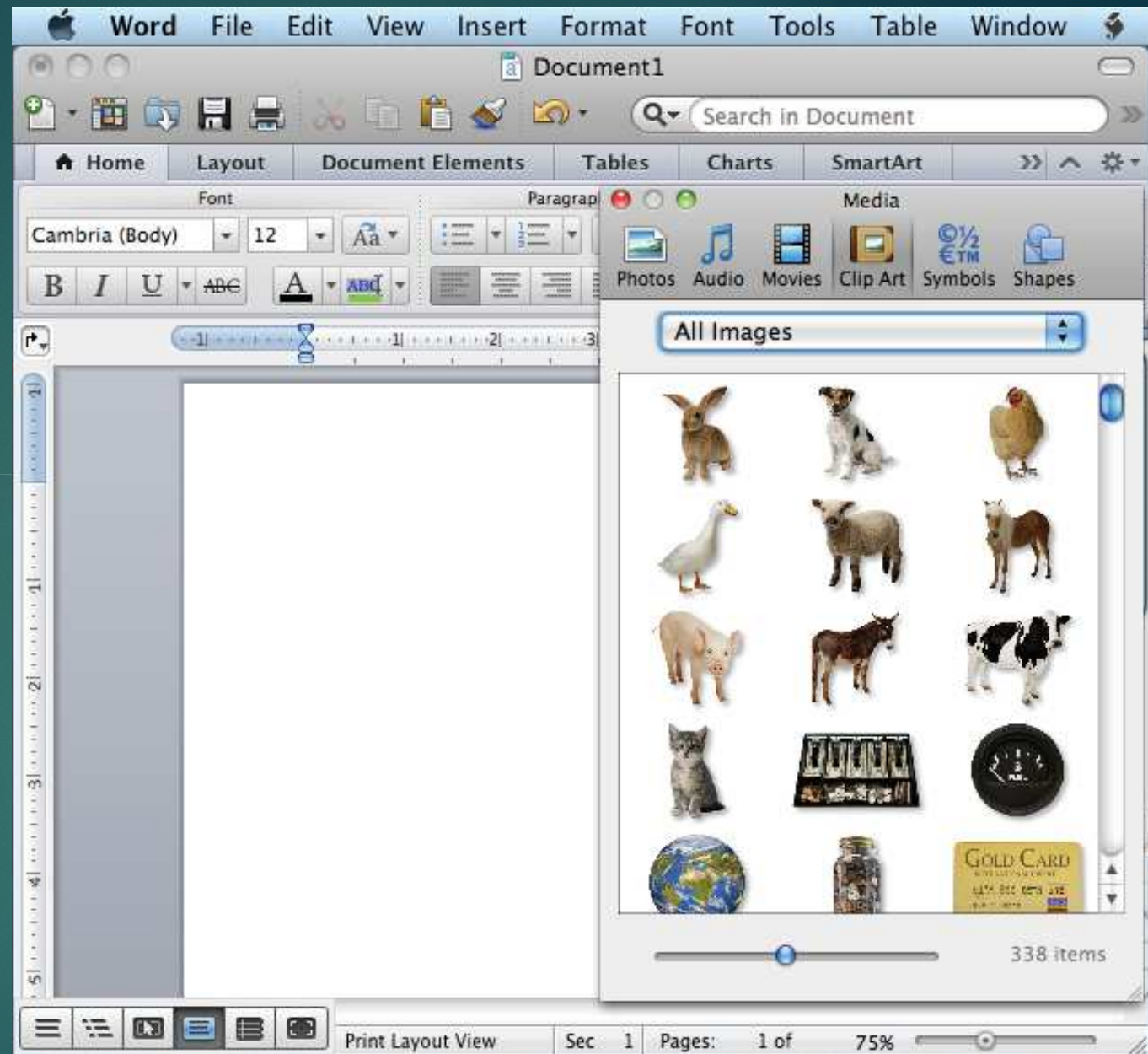
Step 3



Click on Media
Browser

Step 4

Select a picture
and drag into the
document



Step 1

Open MS Word

Step 2

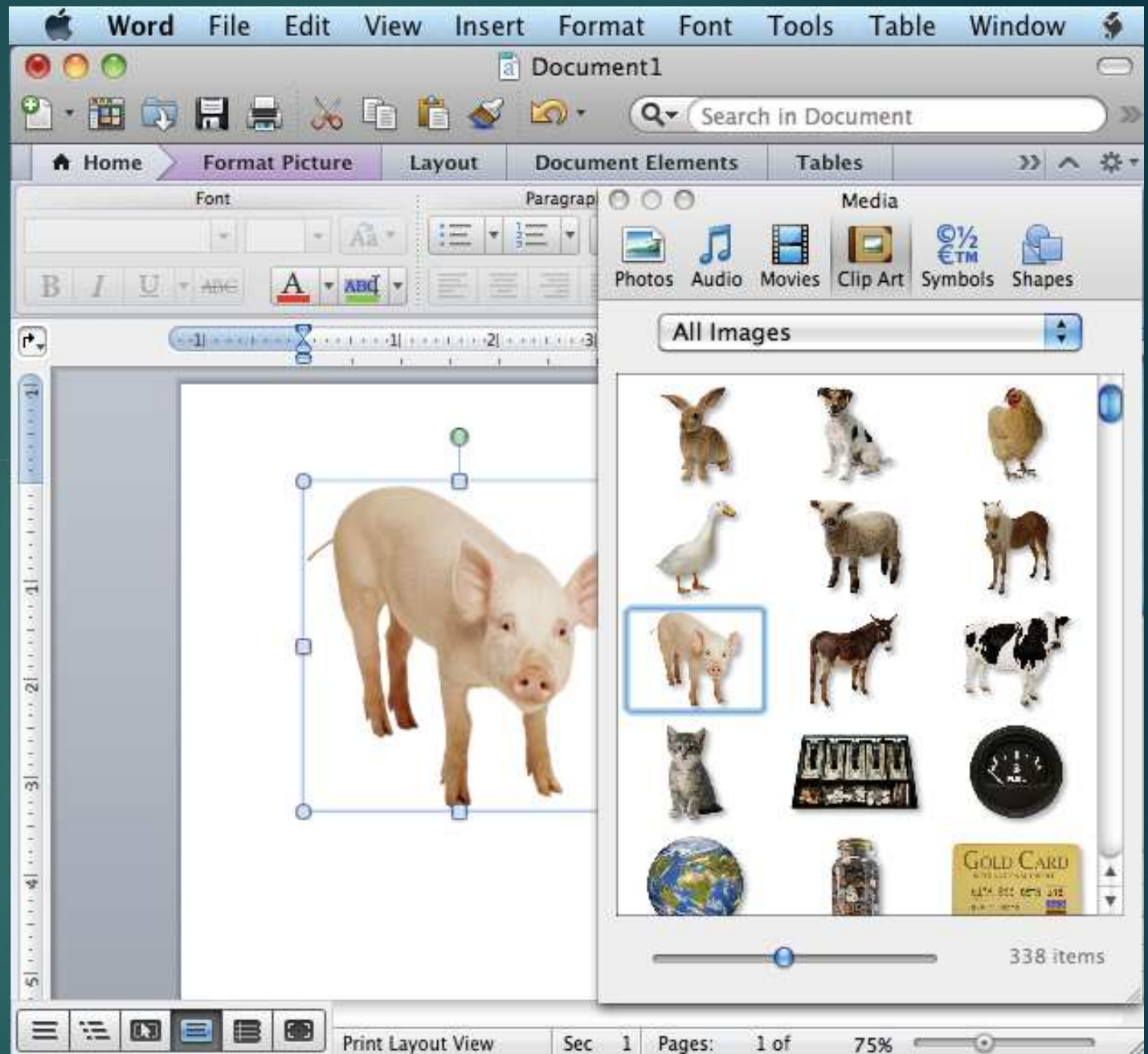
Click on menu
View

Step 3

Click on Media
Browser

Step 4 🐟

Select a picture
and drag into the
document



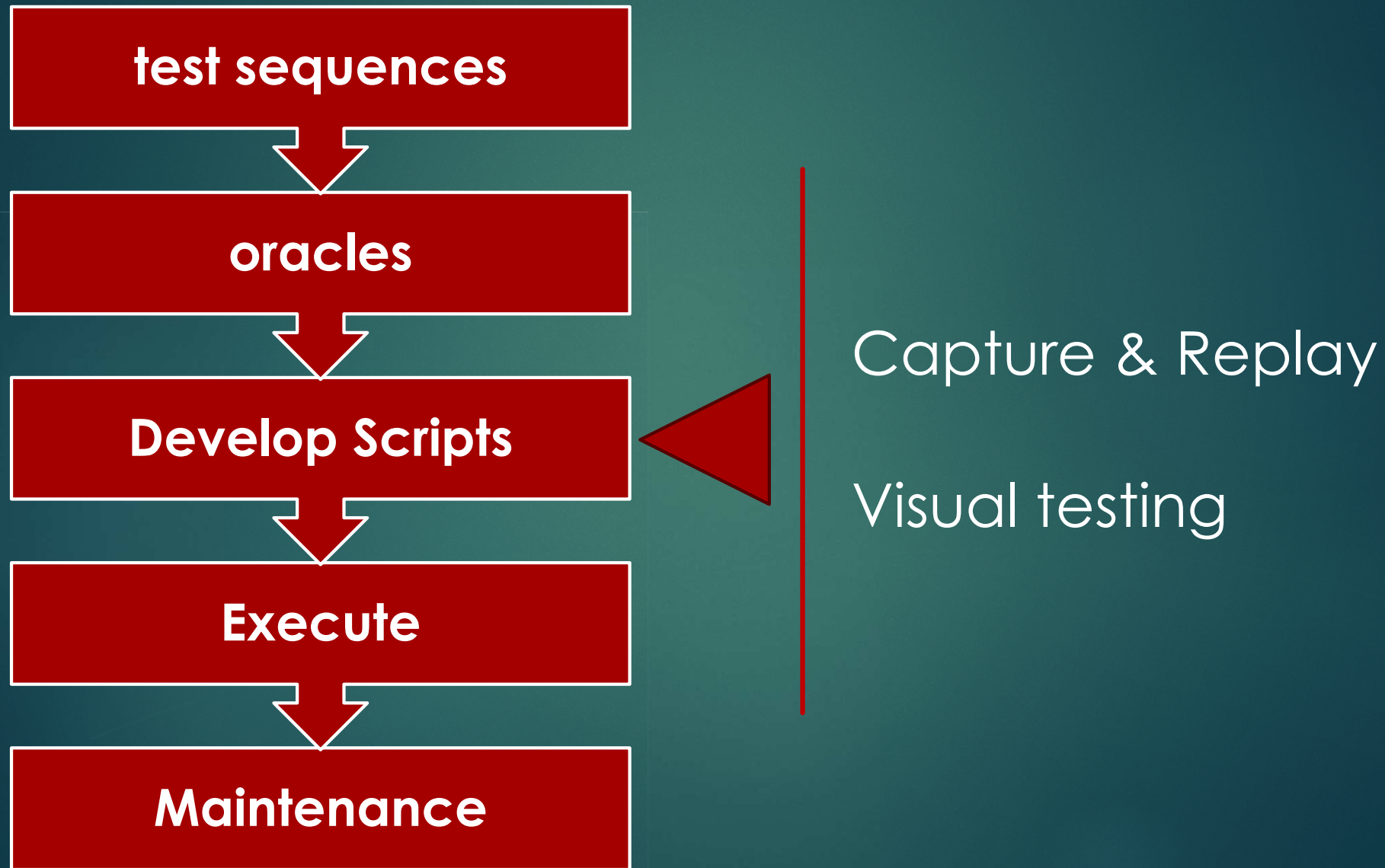
Manual testing

- ▶ Tedious
 - ▶ Executing the same clicks over and over again
- ▶ Tiresome and boring
 - ▶ Rerunning the same tests after changes to the SUT
 - ▶ Filling the same forms over and over again
 - ▶ Regression testing
- ▶ Error prone
- ▶ Costly





State of practice: make scripts



Capture & Replay



- ▶ Tools **Captures** user interaction with the UI
- ▶ **Records** a script
- ▶ That can be automatically **Replayed**

- ▶ Examples

- ▶ Open source

- ▶ Selenium

- ▶ Abbot

- ▶

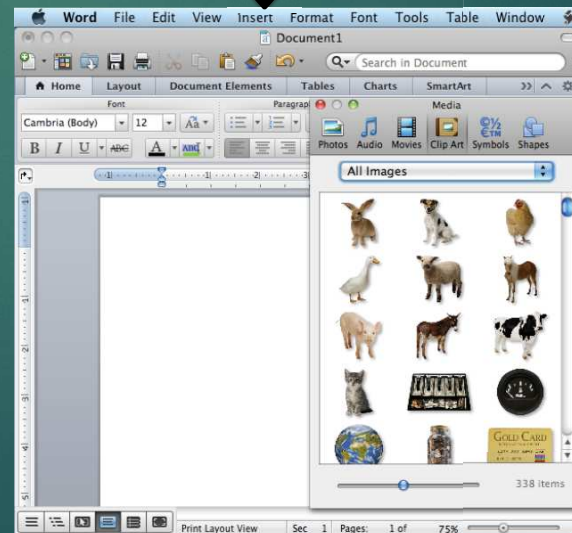
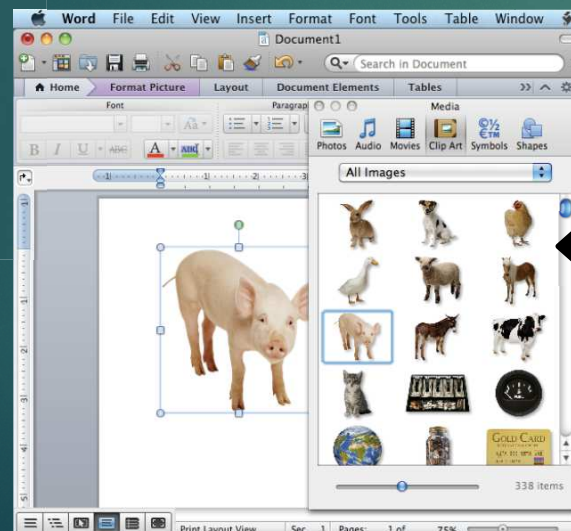
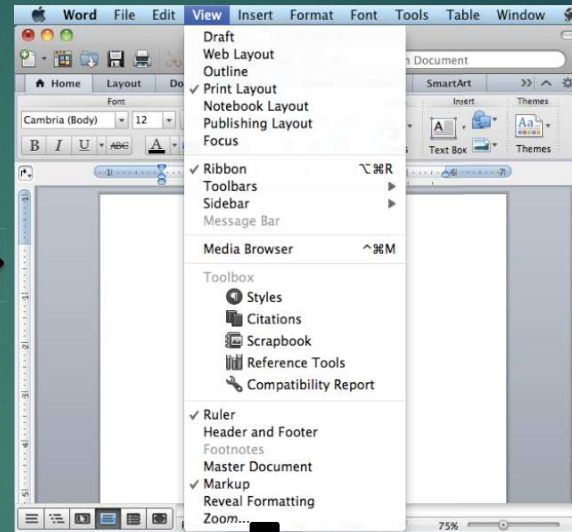
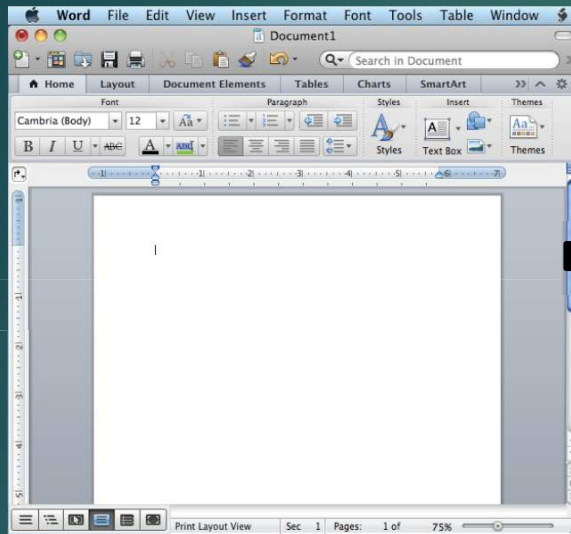
- ▶ Commercial

- ▶ QF-Test

- ▶ Rational Functional /Robot Tester (IBM)

- ▶

Capture & Replay



Capture & Replay

► Advantages

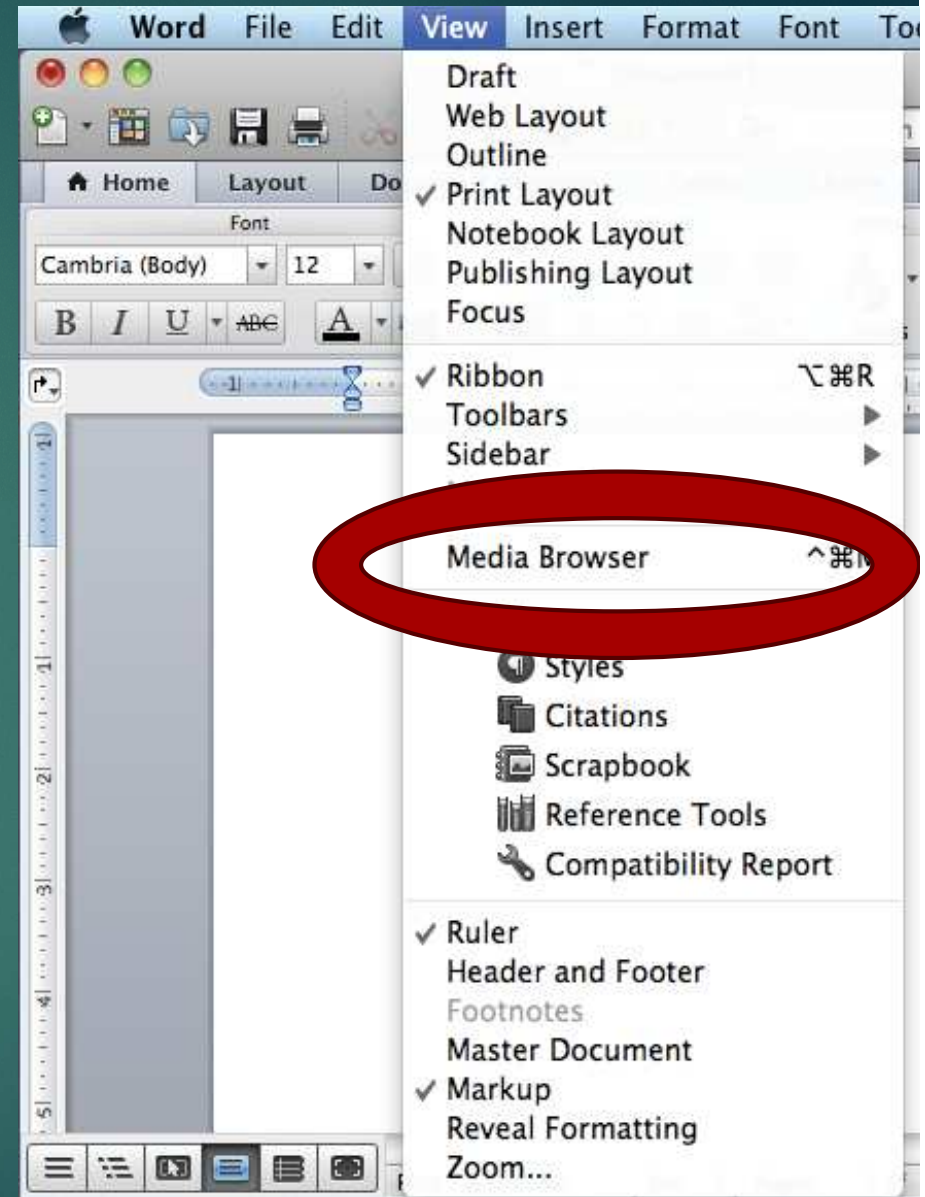
- Simple and easy

► Disadvantages

- Scripts break as GUI changes
- Maintenance problem

► These are huge problems

- GUIs change all the time
- Requirements too!



Visual testing (VGT)

- Based on image recognition



Home / Living room / Bookcases

View more images

BILLY
Bookcase, white
\$29.99

The price reflects selected options
Article Number: 802.638.32

★★★★★ 5.0 (1) Write a review

⚠ WARNING: TIP-OVER RISK: This furniture must be permanently fixed to the wall. Read more here.

Size
15 3/4x11x41 3/4 "

Color
white

1 Buy online Save to list

Add to Registry

Why we like it:
It is estimated that every five seconds, one BILLY bookcase is sold somewhere in the world. Pretty impressive considering we launched BILLY in 1979. It's the booklovers choice that never goes out of style.
[Everything about this product](#)

Coordinating Products
+ [View all coordinating products](#)

Check stock at your local store
Choose Store selection may vary and prices may differ from those online.

Color:

More Models: [Show all \(17 more\)](#)

Begin "Search for a Billy bookcase"

StartWeb "<http://www.ikea.com/us/en>"

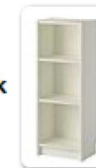
Click

Write "billy[ENTER]"

End

Begin "View the details"

Click



End

Begin "Check the price"

Check



End

Visual testing



- ▶ Easy to understand
- ▶ Hardly no programming skills needed
- ▶ Solves part of maintenance problem
 - ▶ Robust against some changes
 - ▶ But not all
 - ▶ Move Media Browser within same menu: YES
 - ▶ Move Media Browser to another menu: NO
 - ▶ Change the icon: NO
- ▶ Studies show maintenance still an issue

Our contribution: **T**est*

▶ **Scriptless**

- ▶ What is not there does not need to be maintained

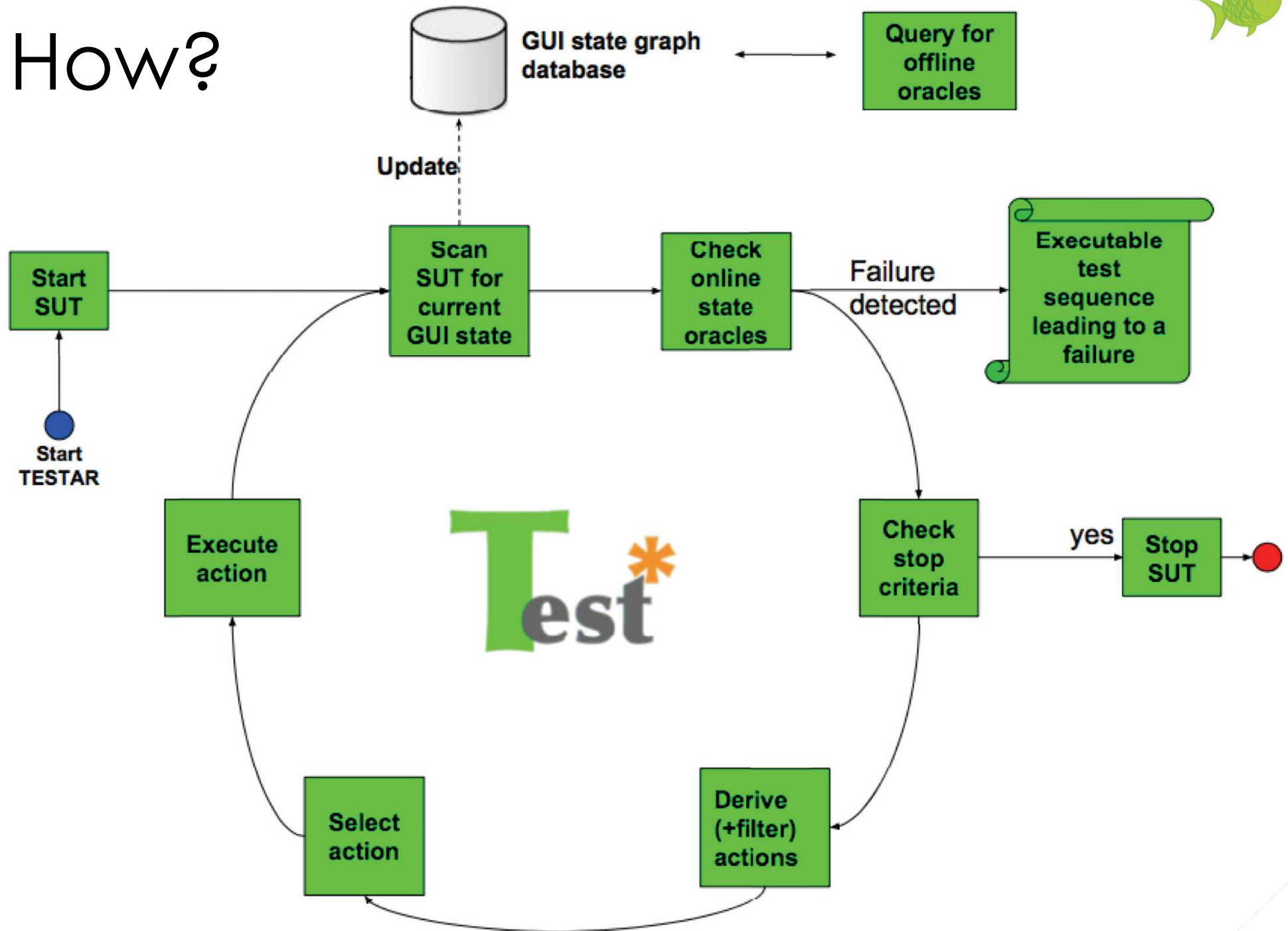
▶ **Departs from random testing**

- ▶ Immediately start testing without requirements

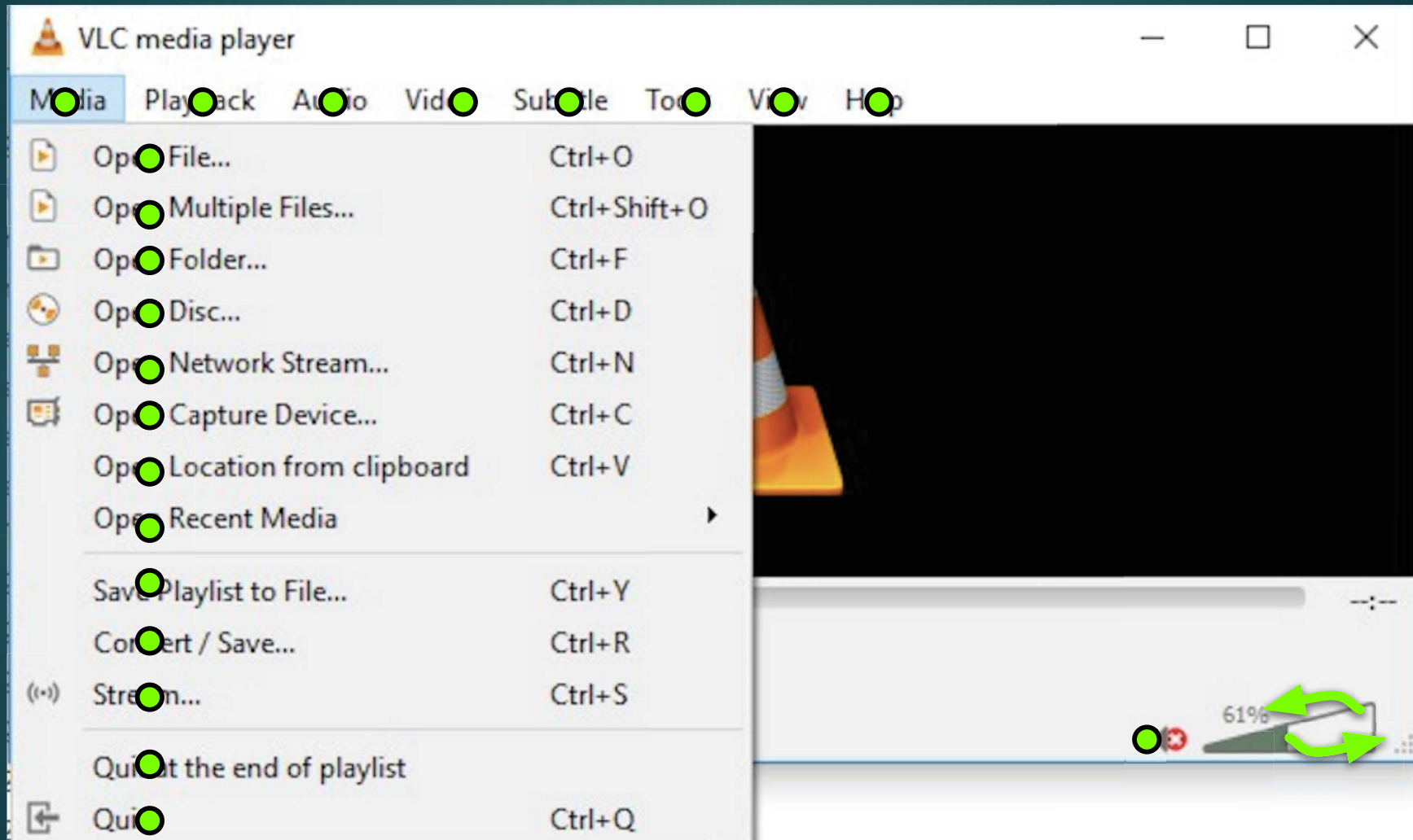




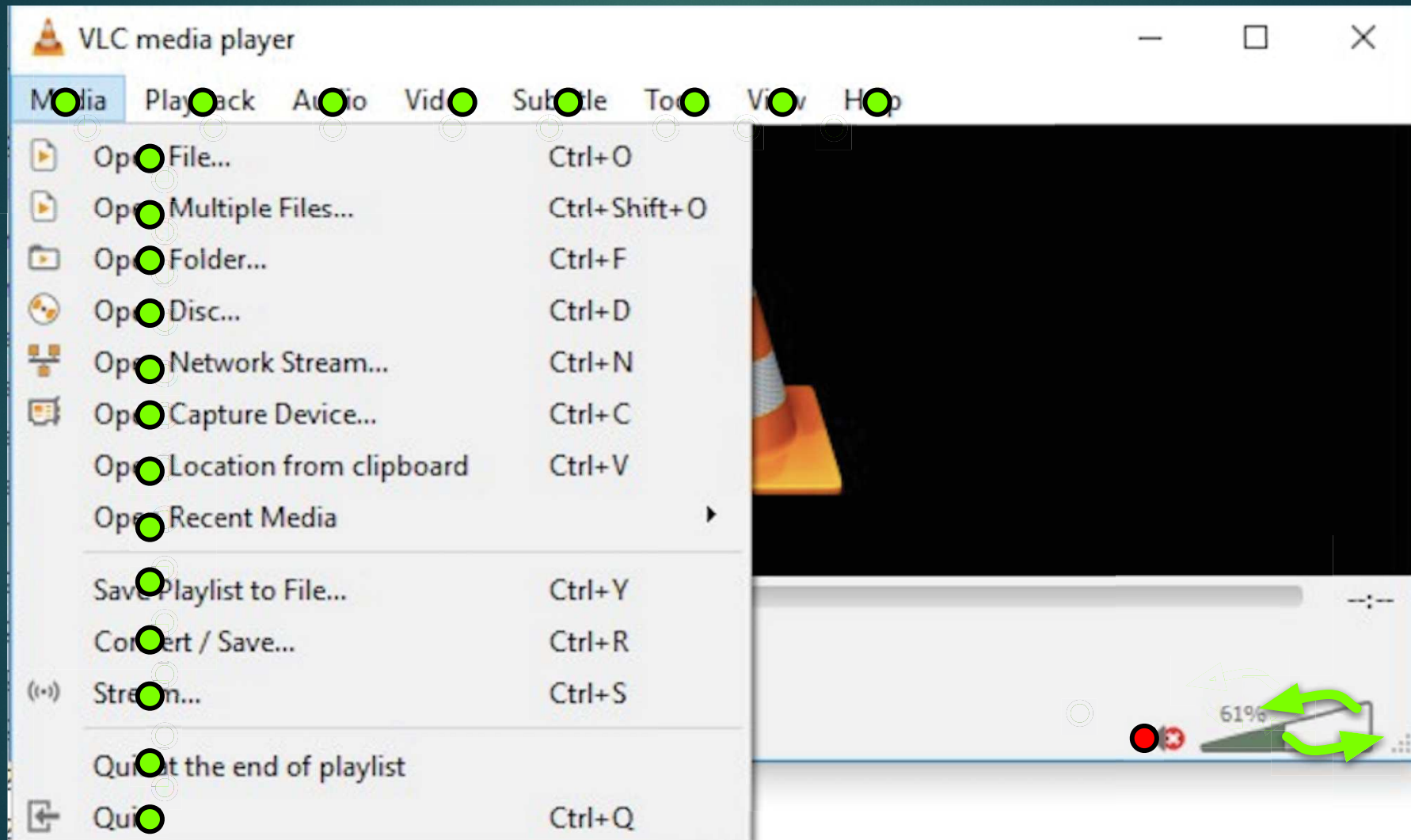
How?



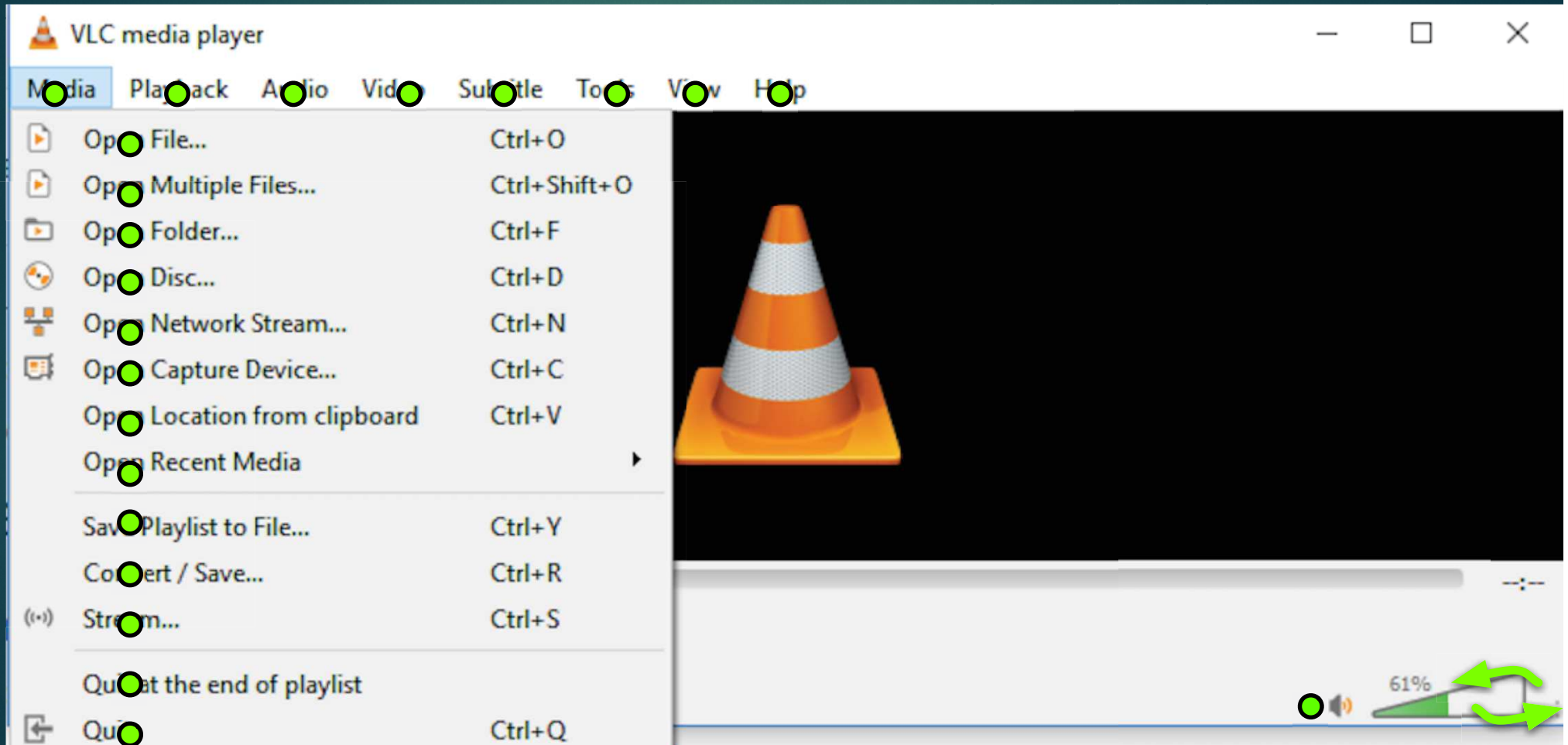
Current state and actions



Select action

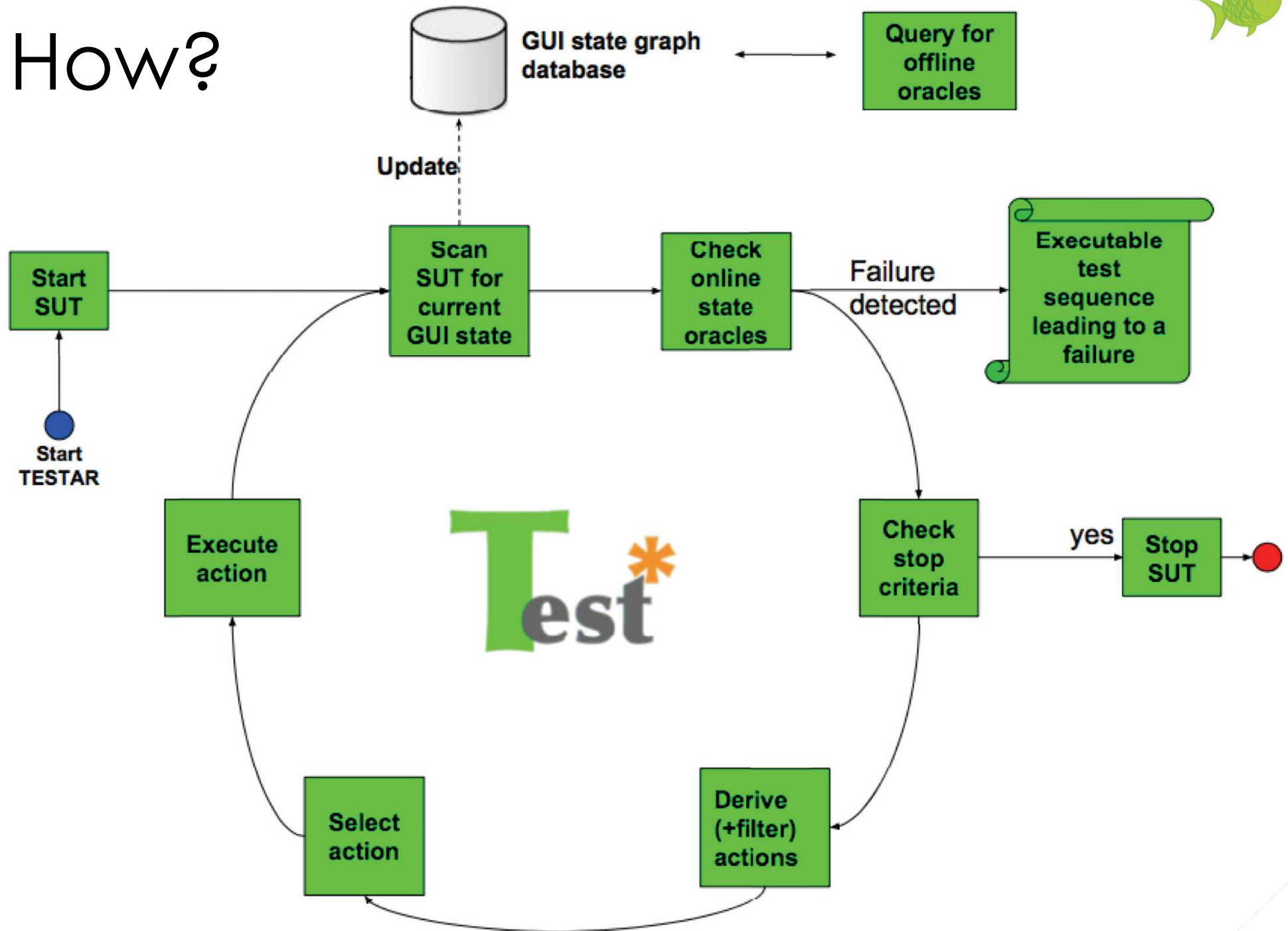


Execute to go to new state

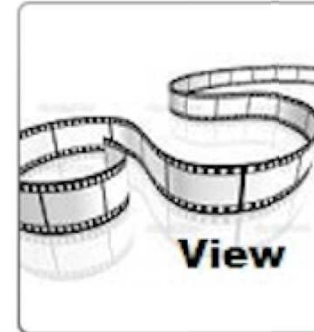




How?



TESTAR v1.3



Filters

Oracles

Time Settings

Misc

GraphDB

About

General Settings

UI-walker

SUT connector:

COMMAND LINE



C:\Program Files\Microsoft Office\Office16\POWERPNT.exe

Path to the SUT: Pick the executable of the SUT or insert a custom command

Number of Sequences:

5

Sampling interval:

1

Sequence actions:

100

Logging Verbosity:

Information

☒ Force to sequence length

☒ Stop Test on Fault

☐ Prolog activated

☒ Offline graph conversion

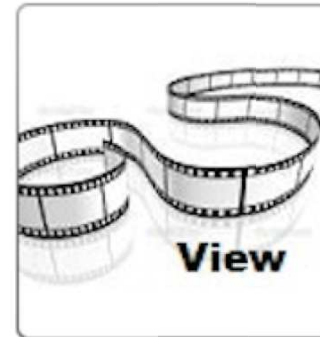
Protocol: desktop_generic

Edit Protocol

READY

PATH to SUT
POWERPNT.exe

TESTAR v1.3



About

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Disabled actions by widgets' TITLE property (regular expression):

```
.*[cC]errar.*|.*[cC]lose.*|.*[sS]alir.*|.*[eE]xit.*|.*[mM]inimizar.*|.*[mM]inimi[zs]e.*|  
.*[il]mprimir.*|.*[pP]rint.*
```

Kill processes by name (regular expression):

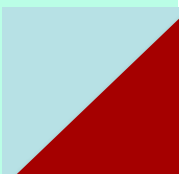
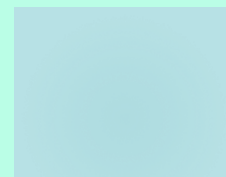
helppane.exe

SET

undesired
actions

undesired
processes

TEST



We can start automated testing

- ▶ Immediately (minimal set-up)
- ▶ No scripts
- ▶ No maintenance here
 - ▶ The widget tree is extracted in each new state
 - ▶ If the state is different, so is the widget tree

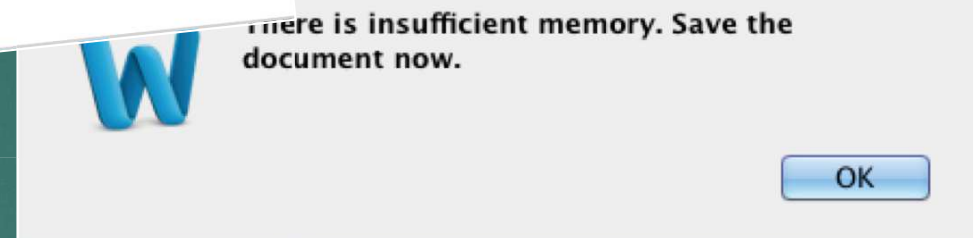
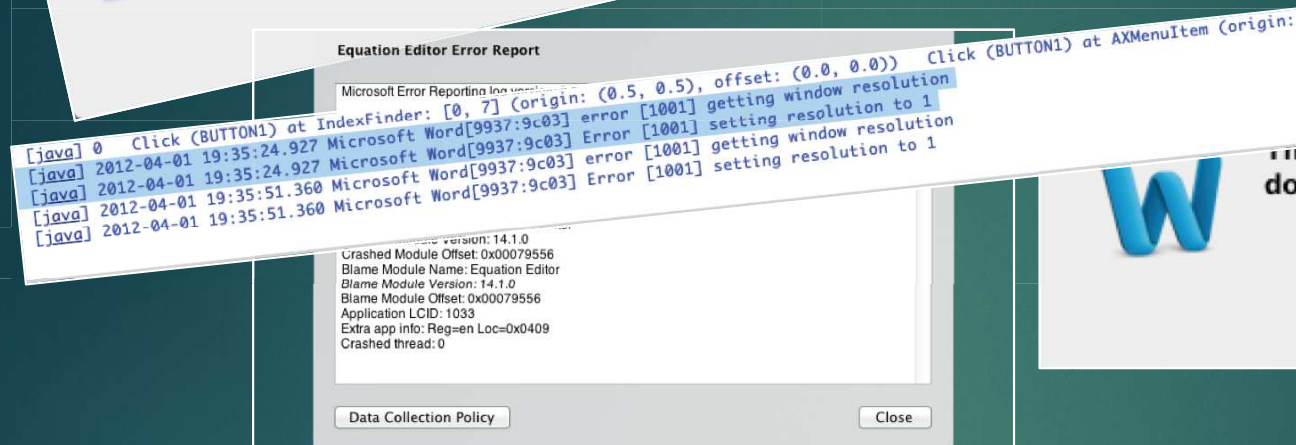
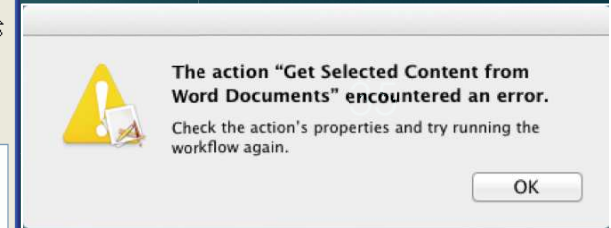
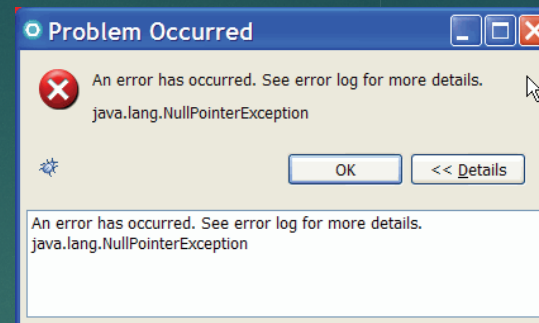
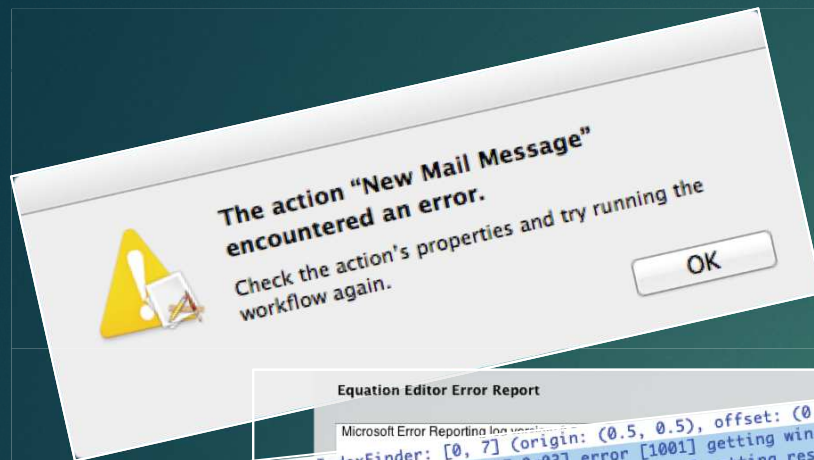


100% Automated online oracles

```
Verdict oracle_Crash (State state){  
    if(!state.get(IsRunning,false))  
        return new Verdict("System crashed!");  
}
```

```
Verdict oracle_Responsiveness (State state){  
    if(state.get(NotResponding, true))  
        return new Verdict("System not responding!");  
}
```

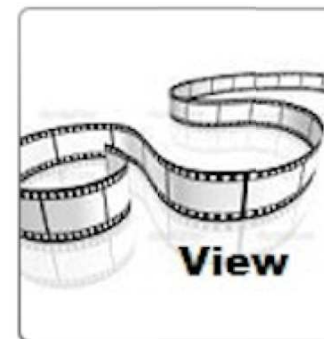
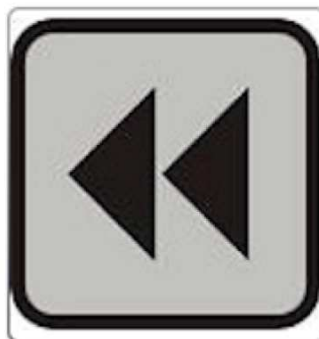
- Crashes
- Hangs



- Online oracles for suspicious titles and outputs
- Specify them with a regular expression



TESTAR v1.3



About

General Settings

UI-walker

Filters

Oracles

Time Settings

Misc

GraphDB

Suspicious Titles:

```
|*[eE]rror.*|.*[eE]xcep[ct]i[o?][n].*
```

Regular
expressions

Freeze Time:

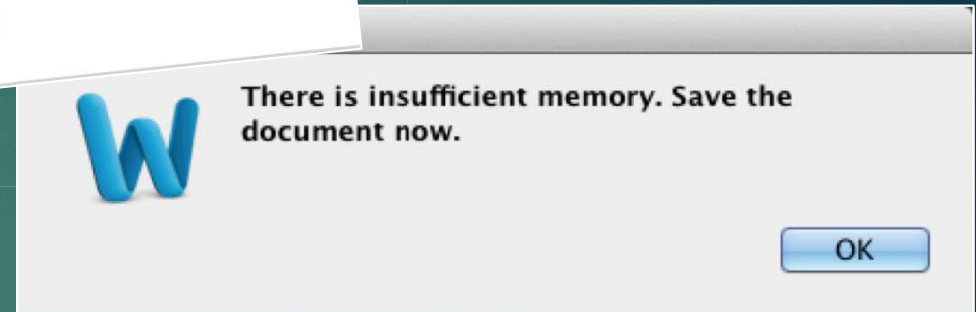
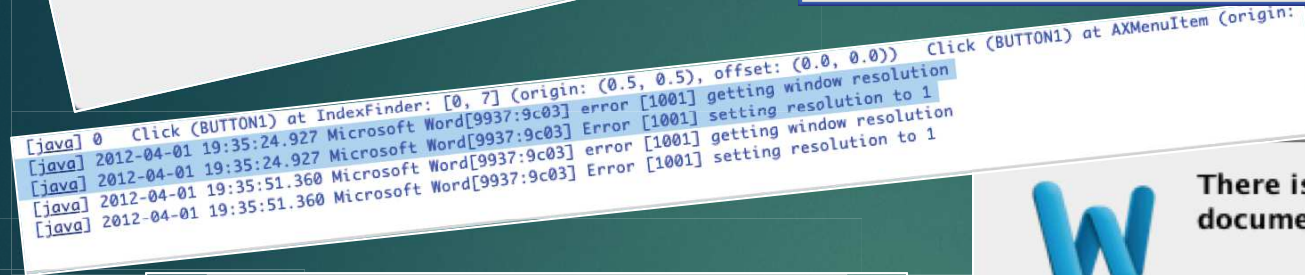
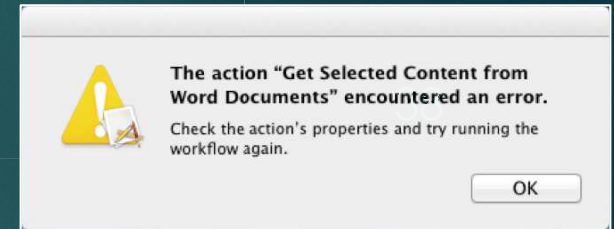
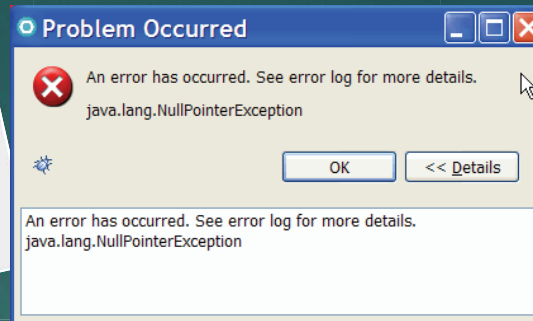
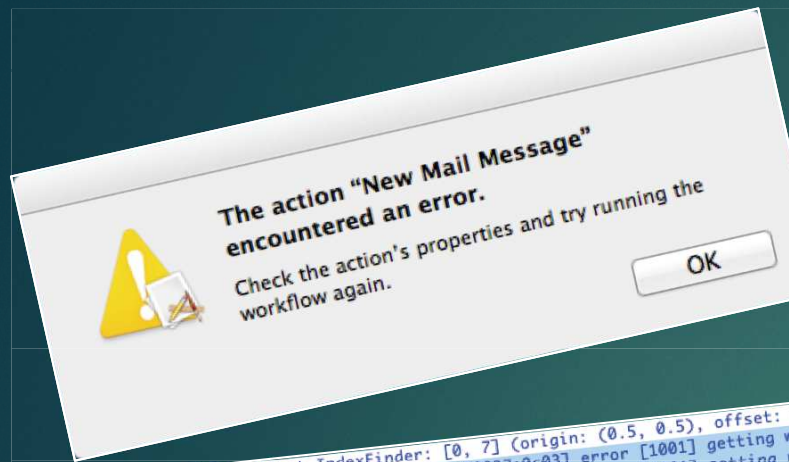
30

seconds



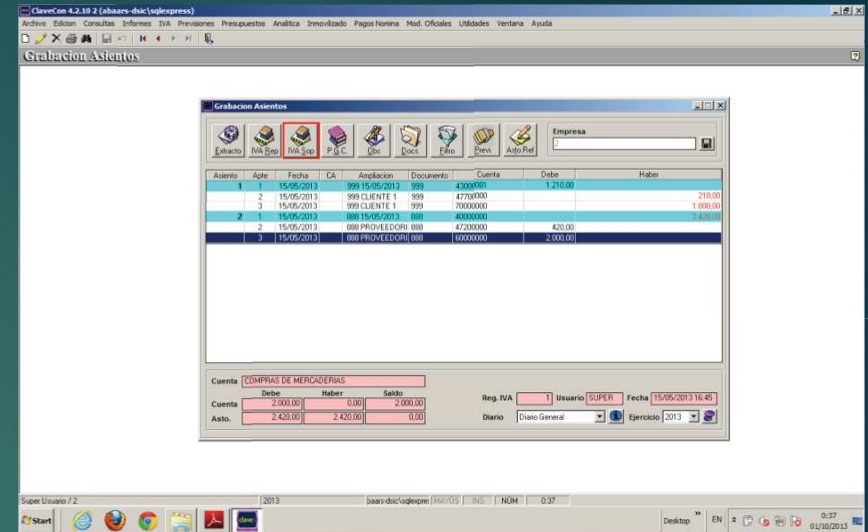

```
Verdicts oracle_SuspiciousTitles(State state){  
    verdicts = new Verdicts():  
    String regEx = settings().get(SuspiciousTitles);  
  
    // search all widgets for suspicious titles  
    for(Widget w : state){  
        String title = w.get(Title, "");  
        if(title.matches(regEx)){  
            verdicts.add(new Verdict("suspicious title..");  
        }  
    }  
    return verdicts;  
}
```

Oracle – Suspicious titles
(under the hood)



ClaveiCon

- ▶ Spanish SME
- ▶ ERP system
- ▶ Written in Visual Basic
- ▶ Microsoft SQL Server 2008 database
- ▶ Targets the Windows operating systems.



	TESTAR
Preparation	26 hour
Testing	91 hour
Post testing	1,5 hour
Critical faults	10

SOFTEAM

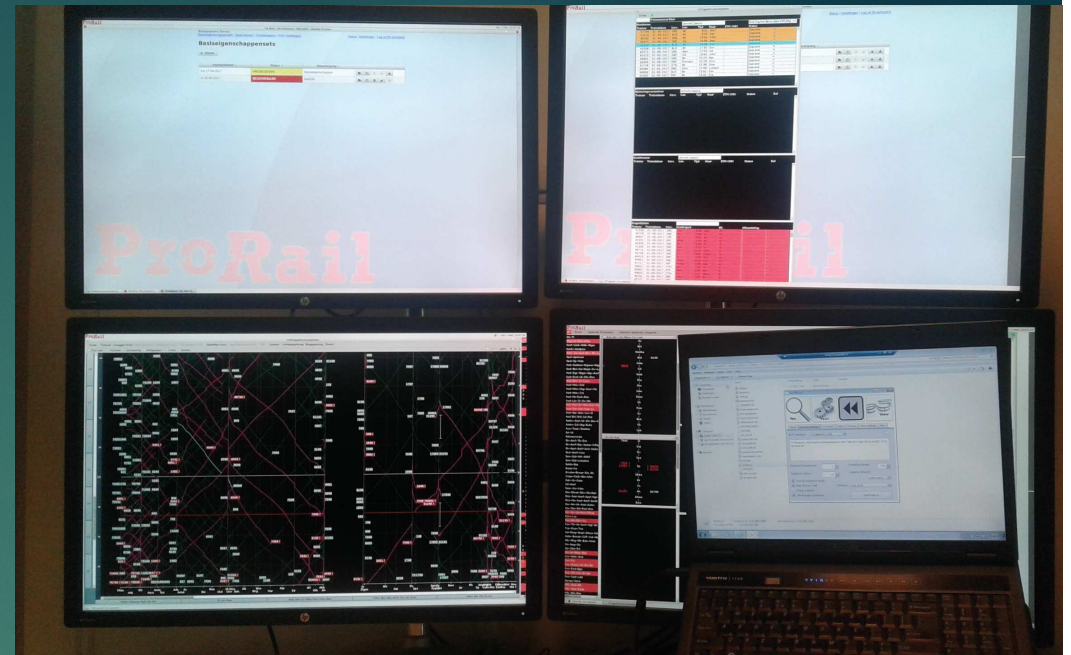
- French and large company
- Backend system for virtualization
- Web GUI
- We could re-inject existing faults

$$\text{FDR} = \frac{\text{num of Faults found}}{\text{num of injected Faults}} \times 100\%$$

	TESTAR	Manual
Preparation	40 hour	36 hour
Testing	77 hour	1 hour
Post testing	3,5 hour	2 hour
FDR	61%	83%
Code coverage	70%	86%

Cap Gemini/ ProRail

- Dutch cooperation
- Web GUI
- System for managing the assignment of train platforms



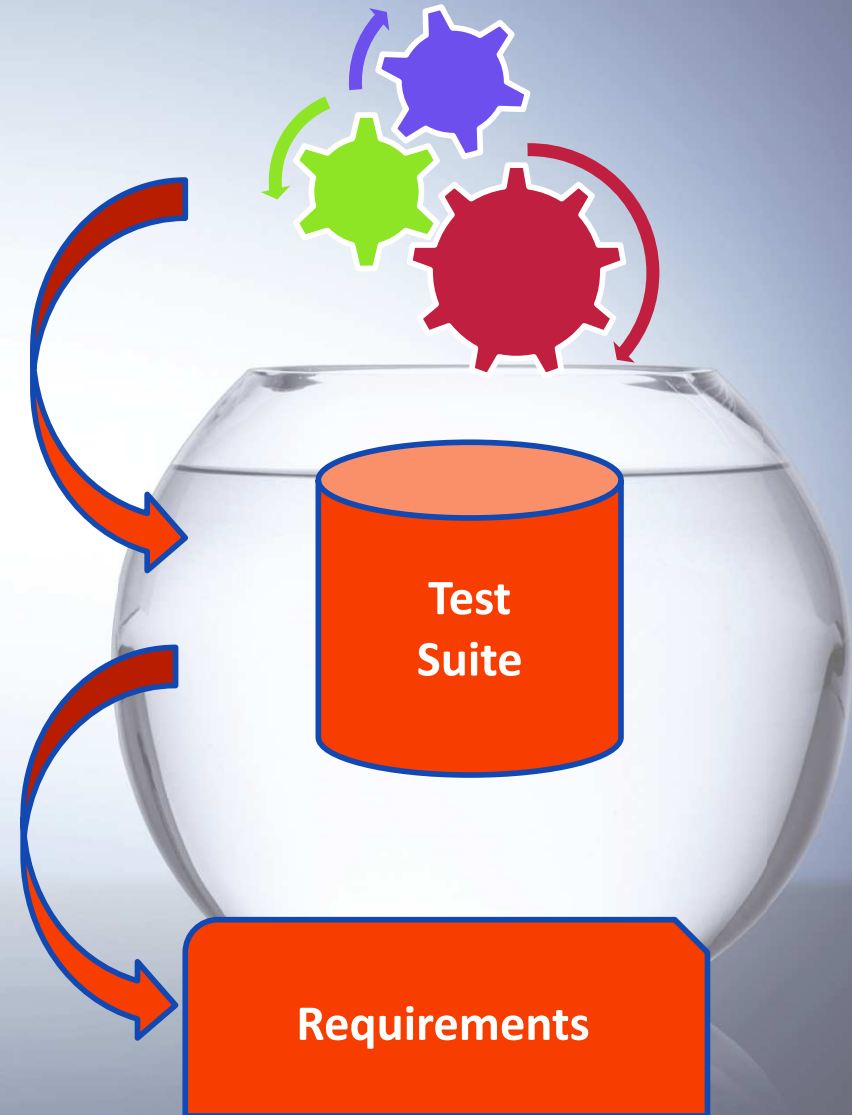
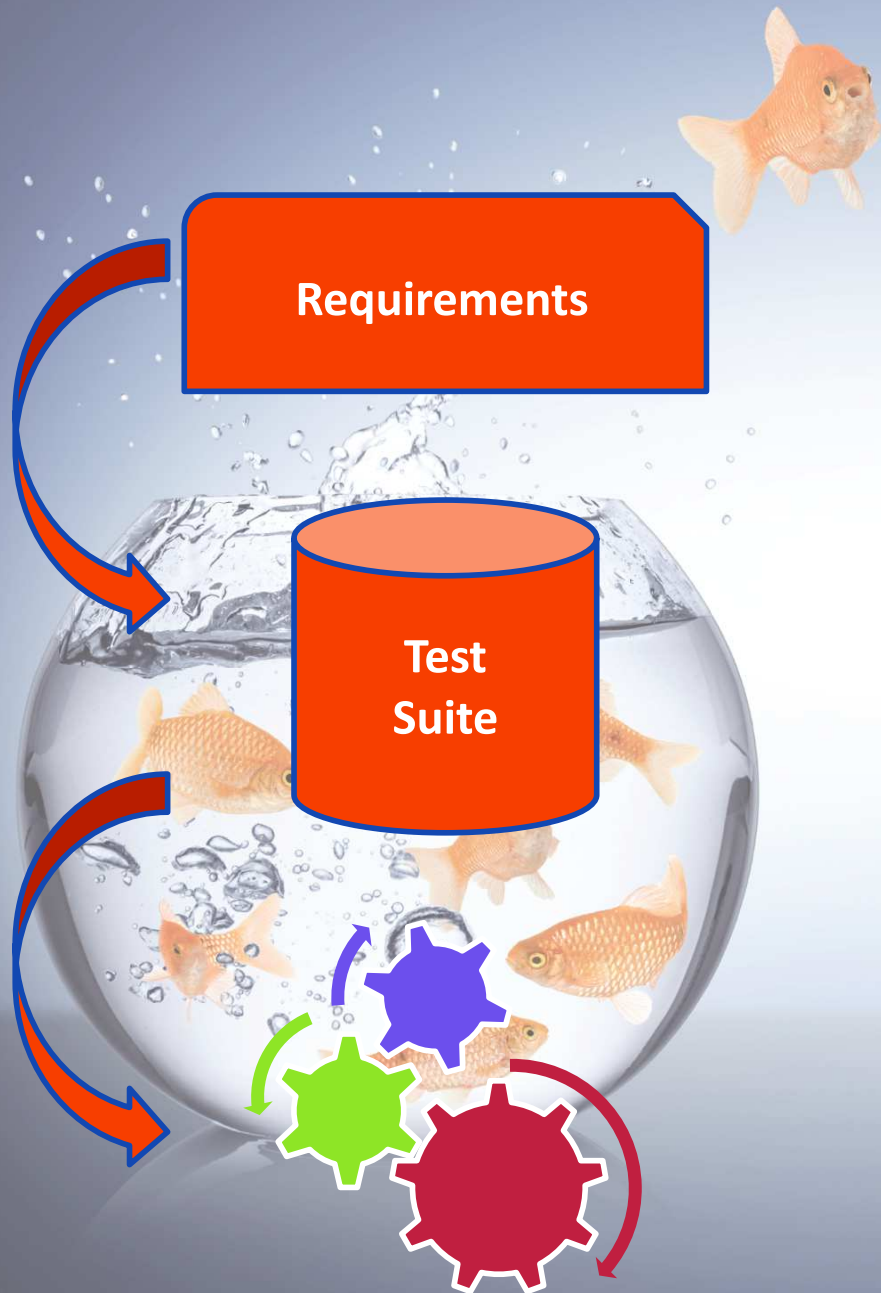
	TESTAR	Manual
Preparation	44 hour	43 hour
Testing	51 hour	6 hour
Post testing	5 hour	2 hour
Critical faults	4	0
Functional coverage	80%	73%

Beside these **T**est*

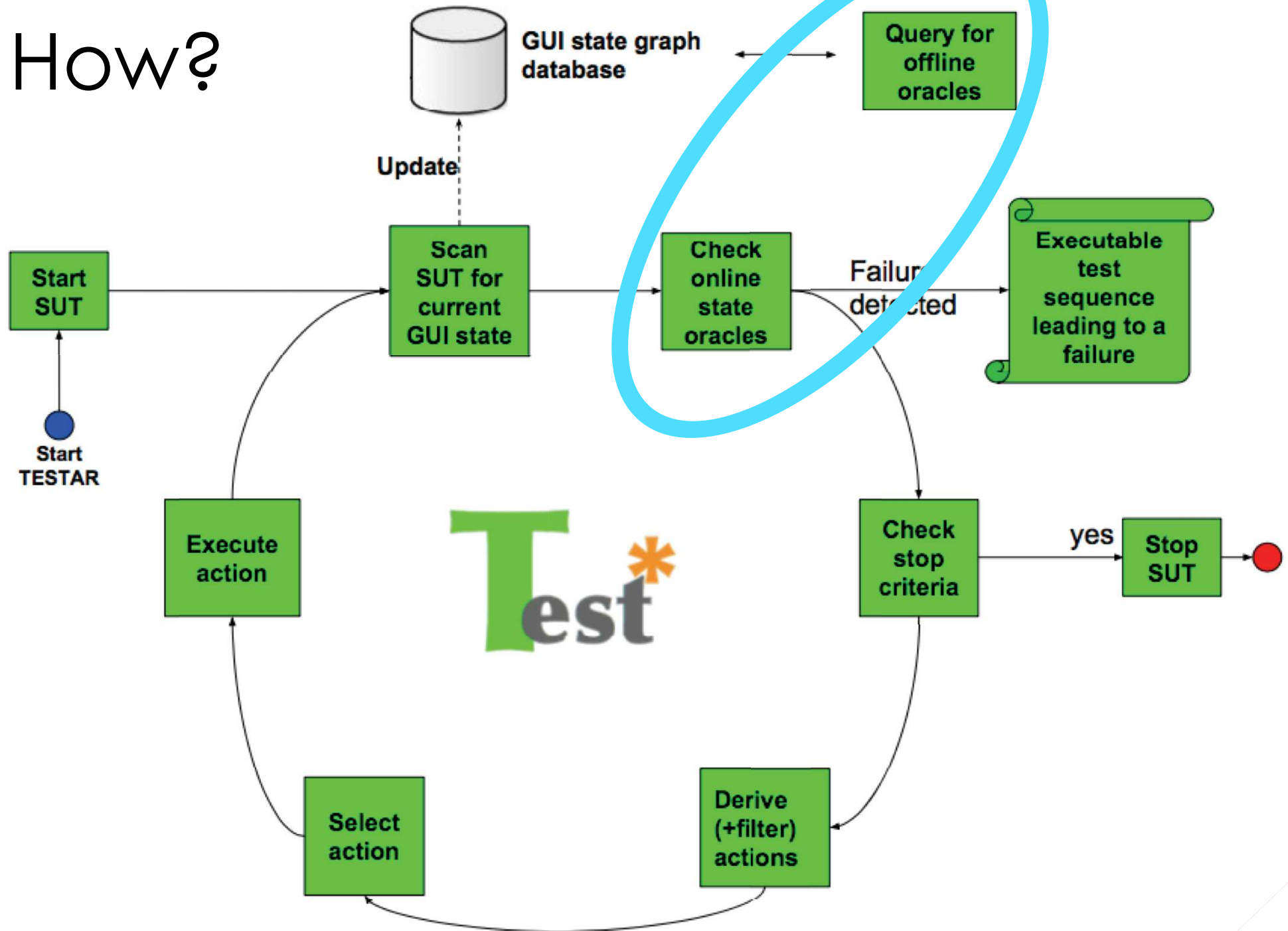
testing ↵

- Microsoft office suite
- Bitrix 24
- Test the test tool TESTONA (eclipse based)
- Over 10 web applications of Spanish companies
- 12 students currently working on it
- Several companies doing proof of concepts

How does it change testing?



How?



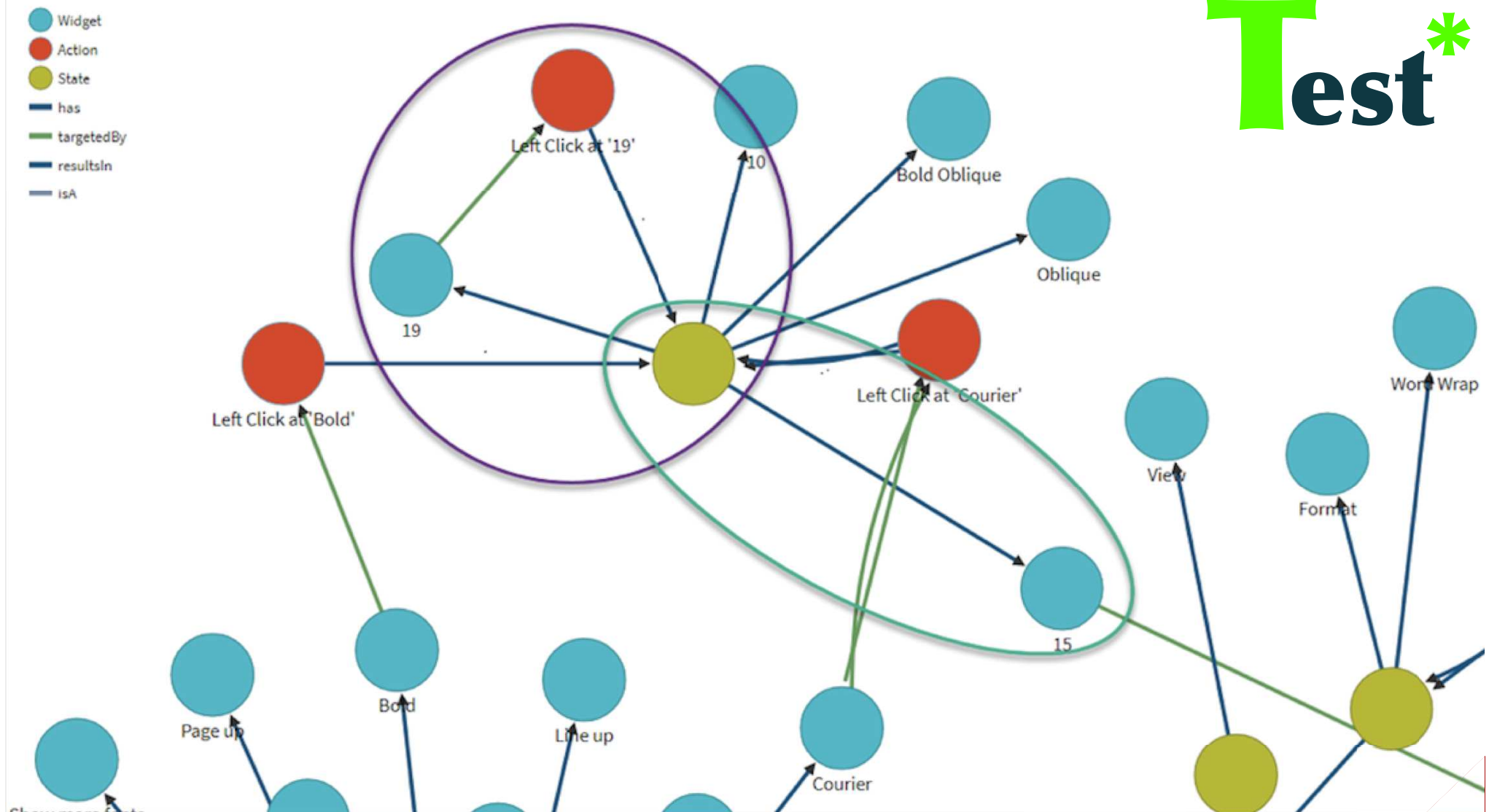

```
Verdicts oracle_ImagesWAI(State state) {  
    verdicts = new Verdicts():  
    for(Widget w : state){  
        Role role = w.get(Tags.Role);  
        if (role.equals("UIAImage") && title.isEmpty())  
            verdicts.add(new Verdict("Not all images have an  
                                    alternate textual description");  
    }  
    return verdicts;  
}
```

Online state oracle

Test*

Offline oracles: Query the graph database

Test*



Application/Domain specific oracles



Need to be programmed/specified

We cannot avoid making oracles manually

COMPLEXITY

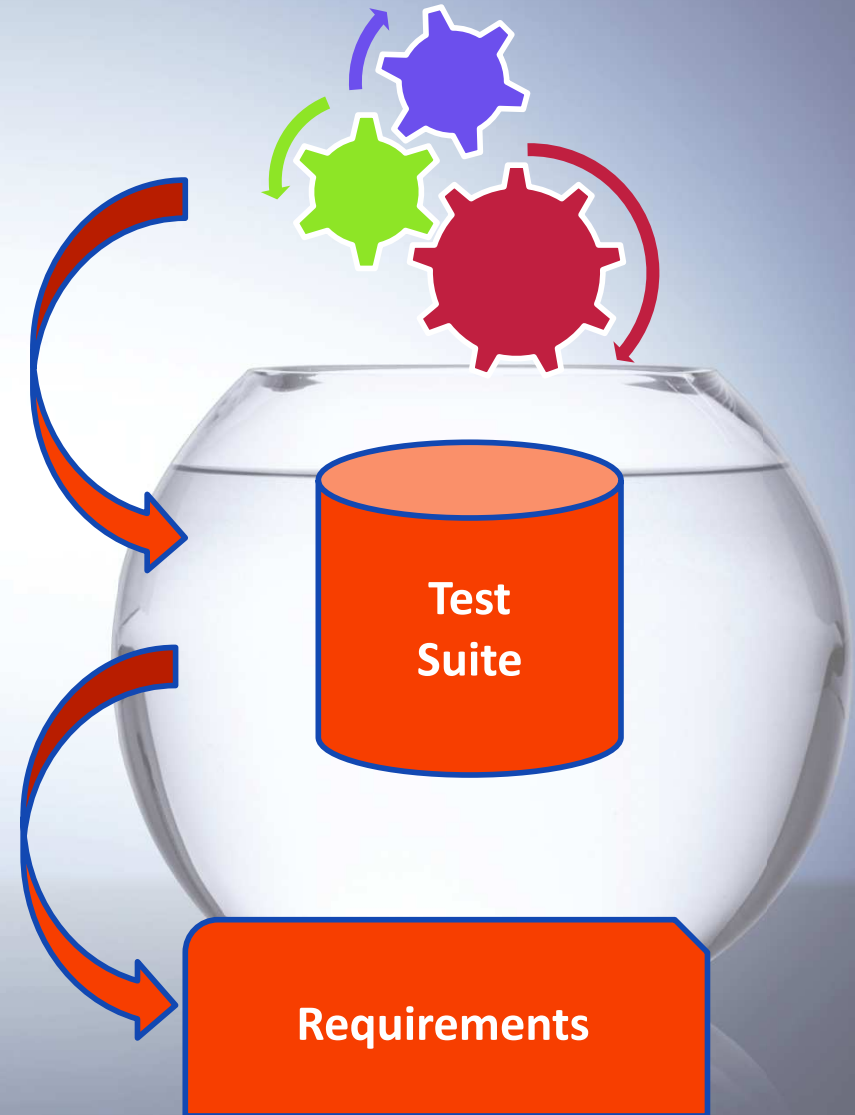
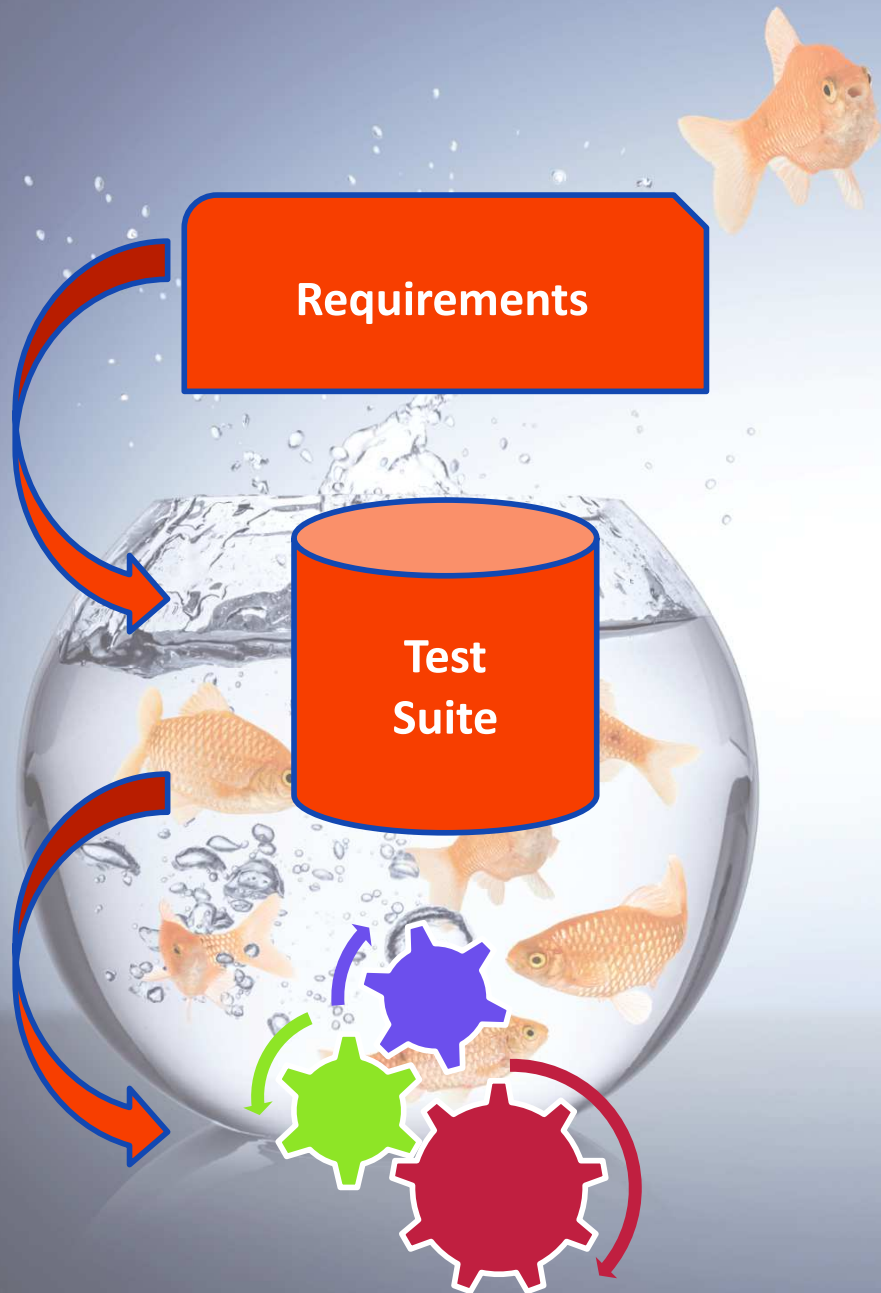
vs

EFFECTIVITY

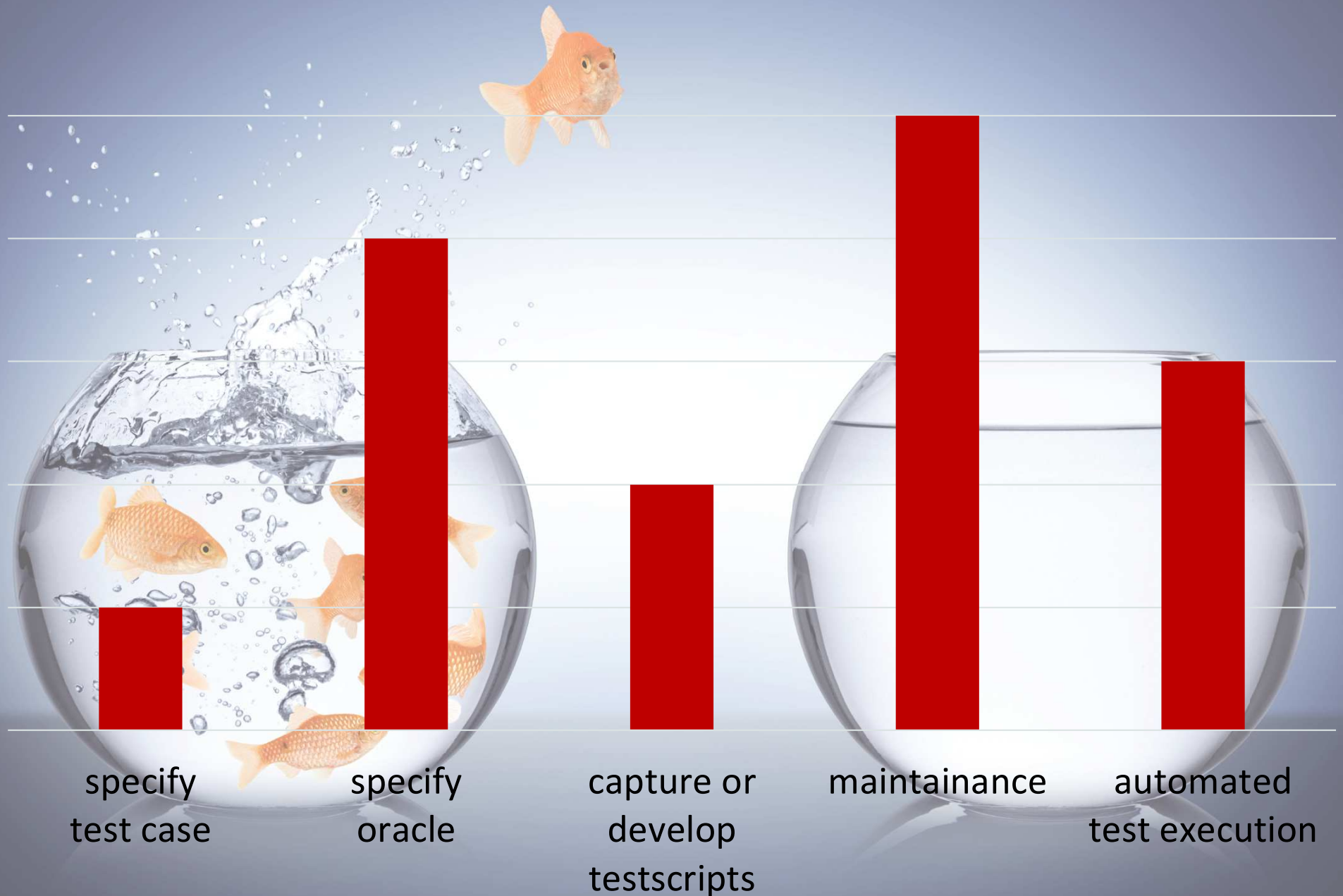
TESTAR shares this problem with **ALL** automated approaches

Oracle problem

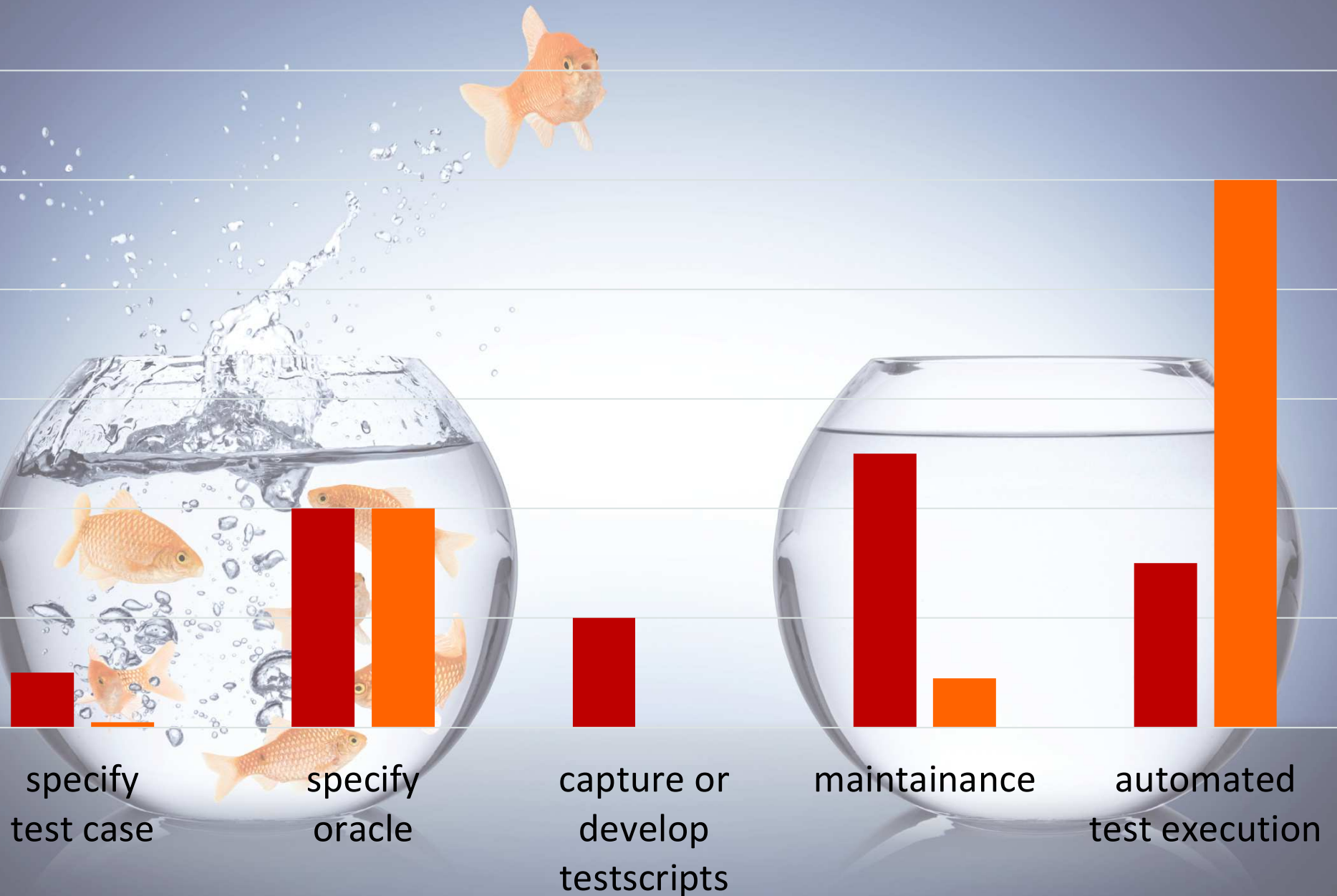
How does it change testing?



How is the test effort distributed



How can **T**est* change testing?



Random testing

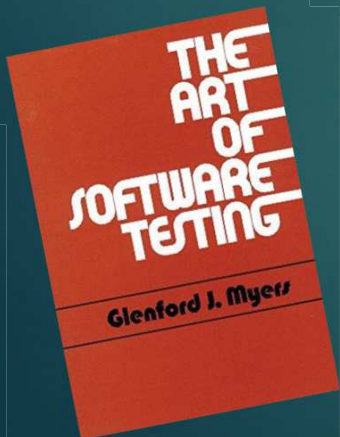
In the
70s

“Valuable test case generation scheme”

E. Girard and J.C. Rault, A Programming Technique for Software Reliability, IEEE Symposium on Computer Software Reliability, 1973

“Necessary final step in the testing activities”

T. A. Thayer, M. Lipow, and E. C. Nelson. Software Reliability. North Holland, Amsterdam, 1978.

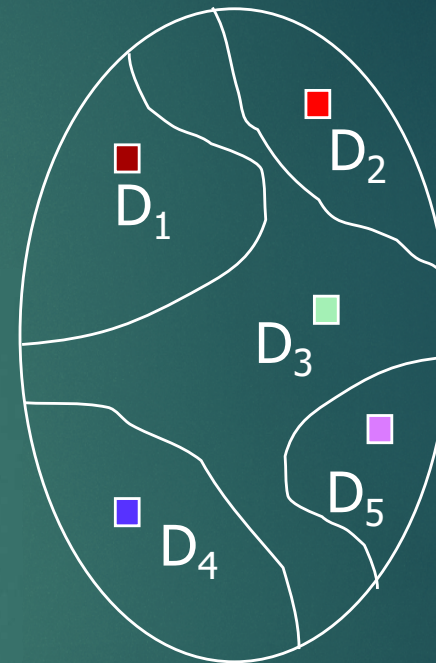
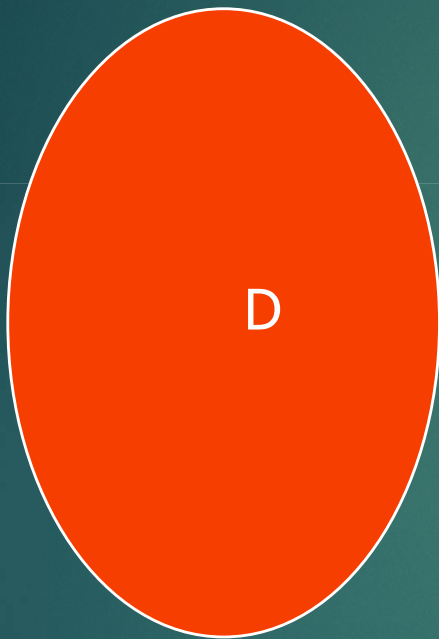


“Probably the poorest testing method”

Glenford Myers, The Art of Software Testing. New York: Wiley, 1979.

Use partition testing

*In the
70s*



Use domain knowledge of the SUT to partition
Group together similar test cases
Choose one

Random testing

In the
80s

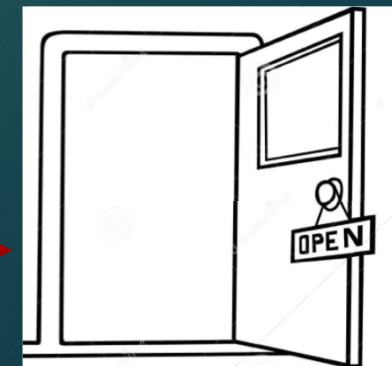


Duran and Ntafos (1984): simulation and experiments showing random better than systematic partition testing.



Hamlet and Taylor (1988): more experiments showing the same

Counterintuitive



Random testing

*In the
80s*

Counterintuitive



- ▶ Why do random testing and systematic testing seem to be almost on par?
- ▶ What are the properties of random testing?
- ▶ When is random testing more effective than partitioning and the other way around?

A Probabilistic Analysis of the Efficiency of Automated Software Testing

Marcel Böhme and Sourmya Paul

Abstract—We study the relative efficiencies of the random and systematic approaches to automated software testing. Using a simple but realistic set of assumptions, we propose a general model for software testing and define sampling strategies for random (R) and systematic (S_s) testing, where each sampling is associated with a sampling cost: 1 and c units of time, respectively. The two most important goals of software testing are: (i) achieving in minimal time a given degree of confidence x in a program's correctness and (ii) discovering a maximal number of errors within a given time bound x . For both (i) and (ii), we show that there exists a bound on c beyond which R performs better than S_s on the average. Moreover for (i), this bound depends asymptotically only on x . We also show that the efficiency of R can be fitted to the exponential curve. Using these results we design a hybrid strategy H that starts with R and switches to S_s when S_s is expected to discover more errors per unit time. In our experiments we find that H performs similarly or better than the most efficient of both and that S_s may need to be significantly faster than our bounds suggest to retain efficiency over R .

Index Terms—Partition testing, random testing, error-based partitioning, efficient testing, testing theory

1 INTRODUCTION

EFFICIENCY is an important property of software testing; potentially even more important than effectiveness. Because complex software errors exist even in critical, widely distributed programs for many years [2], [3], developers are looking for automated techniques to gain confidence in their programs' correctness. The most effective way to inspire confidence in the program's correctness for all inputs is called program verification. However, due to state explosion and other problems, the applicability of verification remains limited to programs of a few hundred lines of code. Now, software testing trades this effectiveness for efficiency. It allows one to gain confidence in the program's correctness with every test input that is executed. So, automated testing is an efficient way to inspire confidence in the program's correctness for an increasing set of inputs. Yet, most research of software testing has mainly focussed on effectiveness:

The most effective testing technique reveals a maximal number of errors and inspires a maximum degree of confidence in the correctness of a program.

Only now are we starting to investigate its efficiency:

The most efficient testing technique i) generates a sufficiently effective test suite in minimal time or ii) generates the most effective test suite in the given time budget.

Using a simple set of assumptions, we construct a general model of software testing, define testing strategies where each generated test input is subject to a cost, and cast our efficiency analysis as a problem in probability theory.

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We model the testing problem as an exploration of error-based input partitions. Suppose, for a program there exists a partitioning of its input space into homogeneous subdomains [4], [5]. For each subdomain, either all inputs reveal an error or none of the inputs reveal an error. The number and "size" of such error-based partitions can be arbitrary but must be bounded. Assuming that it is unknown *a-priori* whether or not a partition reveals an error, the problem of software testing is to sample each partition in a systematic fashion to gain confidence in the correctness of the program.

A testing technique samples the program's input space. We say that a partition D_i is discovered when D_i is sampled for the first time. The sampled test input shows whether or not partition D_i reveals an error. Effectively, the sampled test input becomes a witness for the error-revealing property of D_i . A testing technique achieves the degree of confidence x when at least x percent of the program inputs reside in discovered partitions. Hence, if none of the discovered partitions reveals an error, we can be certain that the program works correctly at least for x percent of its input.

For our efficiency analysis, we consider two strategies: random testing that is oblivious of error-based partitions and systematic testing that samples each partition exactly once. Random testing R samples the input space uniformly at random and might sample some partitions several times and some not at all. Specifically, we show that for R the number and size of partitions discovered decays exponentially over time.¹ Systematic testing samples each error-based partition exactly once and thus strictly increases the established degree of confidence. We model a systematic testing technique S_s that chooses the order in which partitions are discovered uniformly at random and show that number and size of partitions discovered grows linearly over time. Note that our hypothetical S_s can proof correctness eventually.

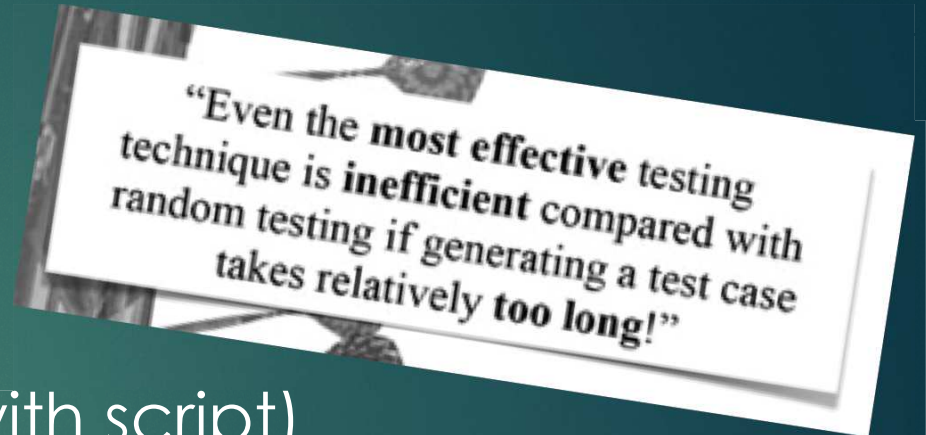
1. Thus, to predict the efficiency of R , e.g., in terms of errors exposed (or even paths exercised), one only needs to fit an exponential curve!

Böhme and S. Paul (2016)

“Even the most effective testing technique is inefficient compared with random testing if generating a test case takes relatively too long!”

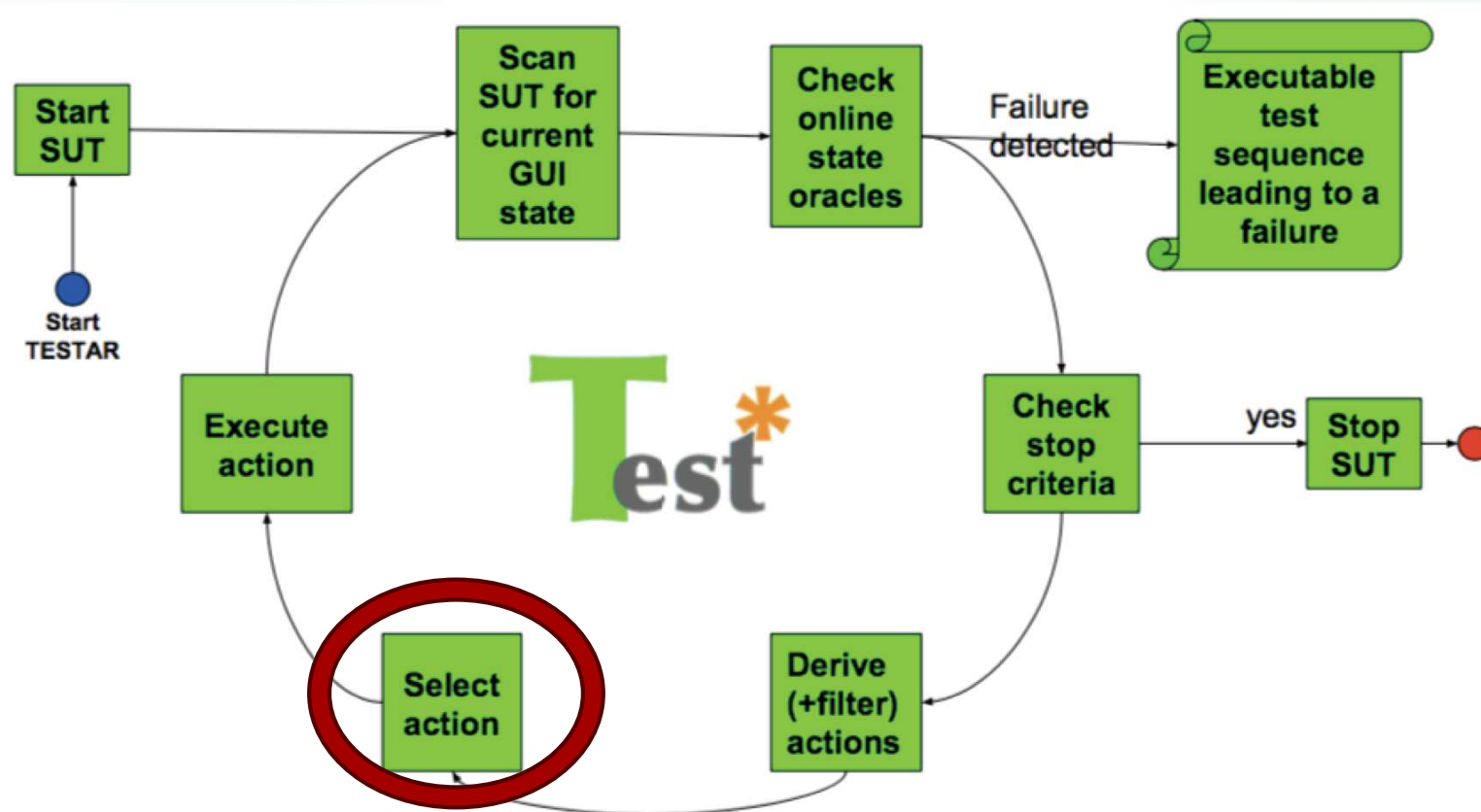
For automated GUI testing.....

- ▶ Generating test case is:
 - ▶ Specification
 - ▶ Capture (or automate with script)
 - ▶ Maintenance!!
- ▶ And random selection gave us quite good results on the software we tested
- ▶ Can we do better?



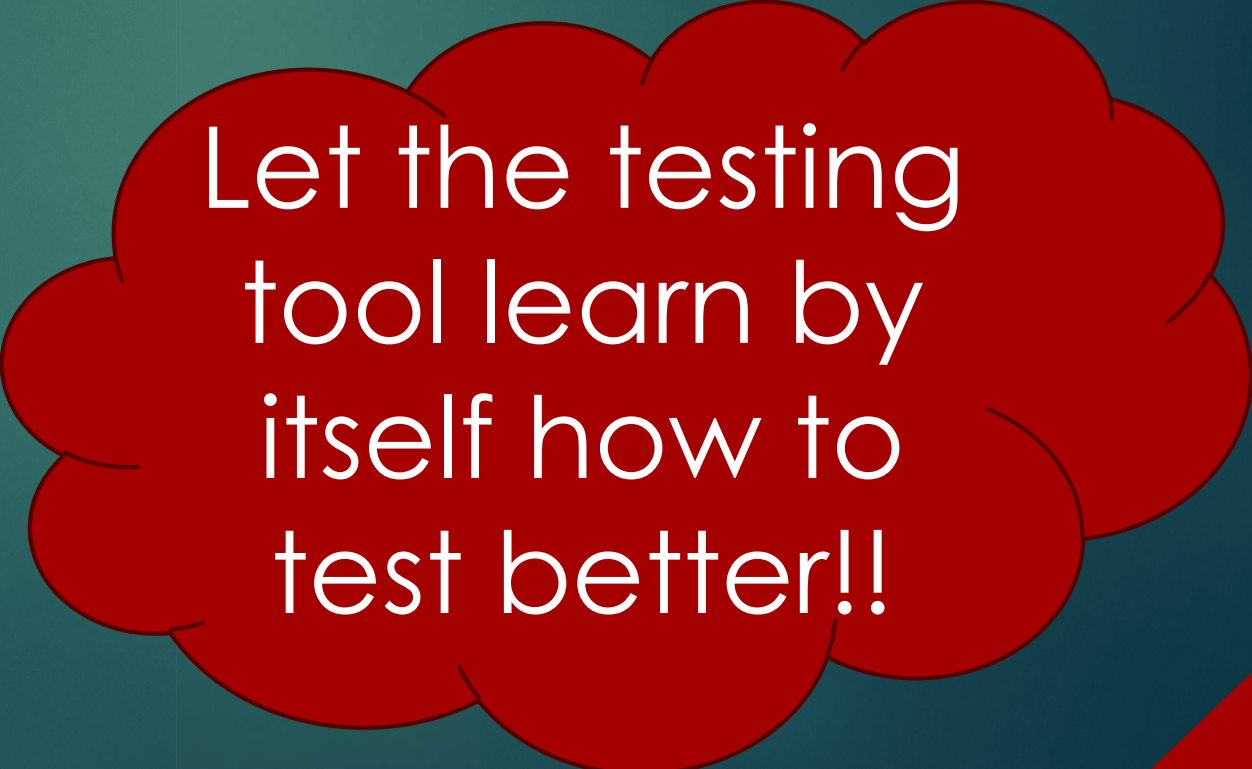
How can we find more faults?

- ▶ Some test cases might be more likely to reveal faults
- ▶ Don't pick at random, but try to optimize criteria!
- ▶ What criteria?



Where can we find faults?

- ▶ Surrogate measures
- ▶ We cannot measure %of faults found
- ▶ We measure something we believe, hope or have shown to be correlated to that attribute.
- ▶ Coverage
- ▶ Diversity
- ▶ Novelty



Let the testing
tool learn by
itself how to
test better!!

Surrogate measures

- ▶ as many **different actions** as possible?

Q-learning

- ▶ make **large call trees**?

Ant colonies

- ▶ visit as **many different states** as possible?

Evolutionary
algorithms

- ▶ make **long sequences**?

Evolutionary
algorithms

- ▶ find **novel states**?

- ▶ We need to investigate many more

Machine Learning (Q-learning)

- sets S of possible states
- sets A of possible actions
- description T of the effect of action in a state
 - $T: S \times A \rightarrow S$
 - state s then select an action from $a \in A$ that causes a transition to a next state s'
- reward function $R: S \times A \rightarrow \mathbb{R}$

find a policy π which maximizes the reward by selecting an appropriate action in each state

Rewards

- Set S of possible states the SUT can be in
- For all $s \in S$, we have sets $A_s \subseteq A$ of actions
- We focus is on exploration of the GUI
- We reward actions a with low execution count ec

$$\forall s \in S, a \in A_s: R(s, a) = \begin{cases} R_{max} & , \quad ec(a) = 0 \\ \frac{1}{ec(a)} & , \quad \textbf{otherwise} \end{cases}$$

Q-learning algorithm

Require: $R_{max} > 0$ */* reward for unexecuted actions */*

Require: $0 < \gamma < 1$ */* discount factor */*

1: **begin**

2: start SUT

3: $\forall (s, a) \in S \times A : Q(s, a) \leftarrow R_{max}$

4: initialize s and available action A_s

5: **repeat**

6: $a^* \leftarrow \max_a \{Q(s, a) | a \in A_s\}$

7: execute a^*

8: obtain state s' and available actions $A_{s'}$

9: $Q(s, a^*) \leftarrow R(s, a^*) + \gamma \cdot \max_{a \in A_{s'}} Q(s', a)$

10: $ec(a^*) ++$

11: $s \leftarrow s'$

12: **until** stopping criteria met

13: stop SUT

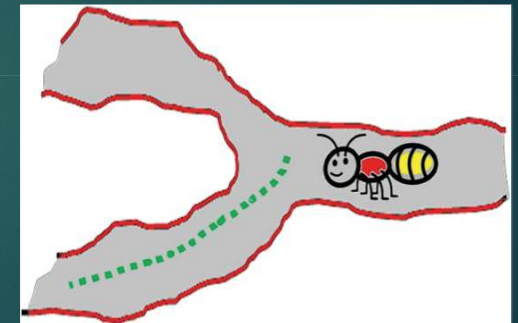
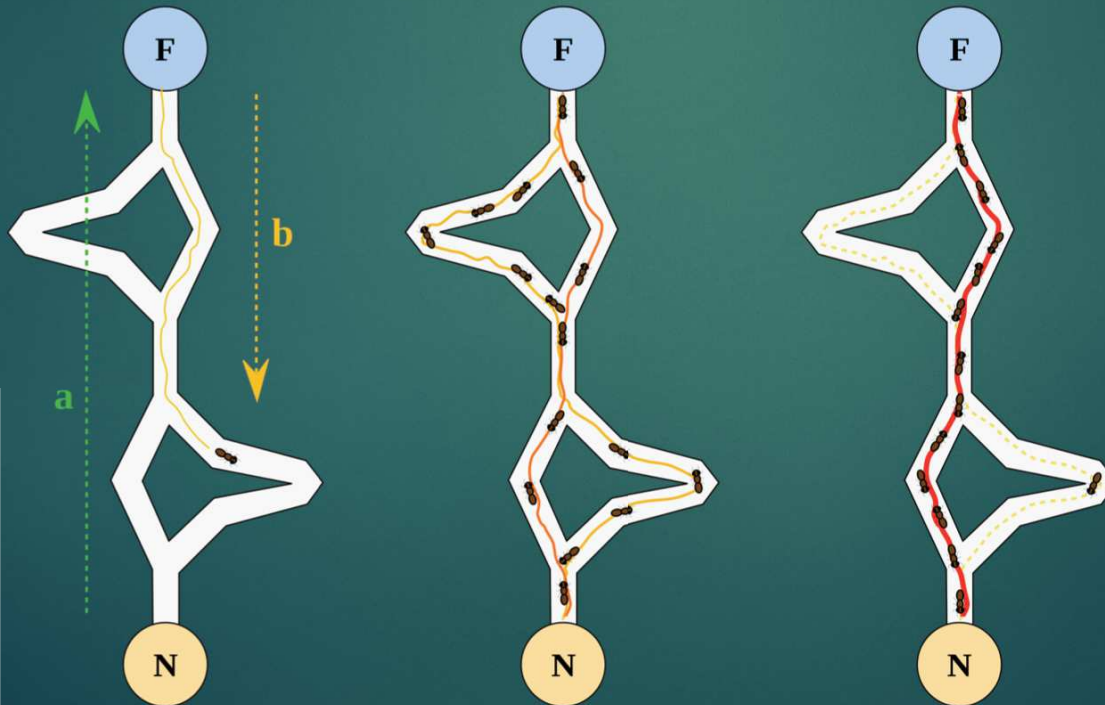
14: **end**

Learn Q

Use Q for selection

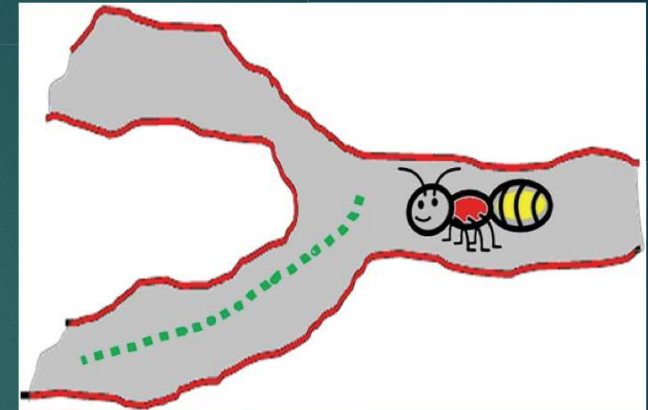
Ant Colony Optimization

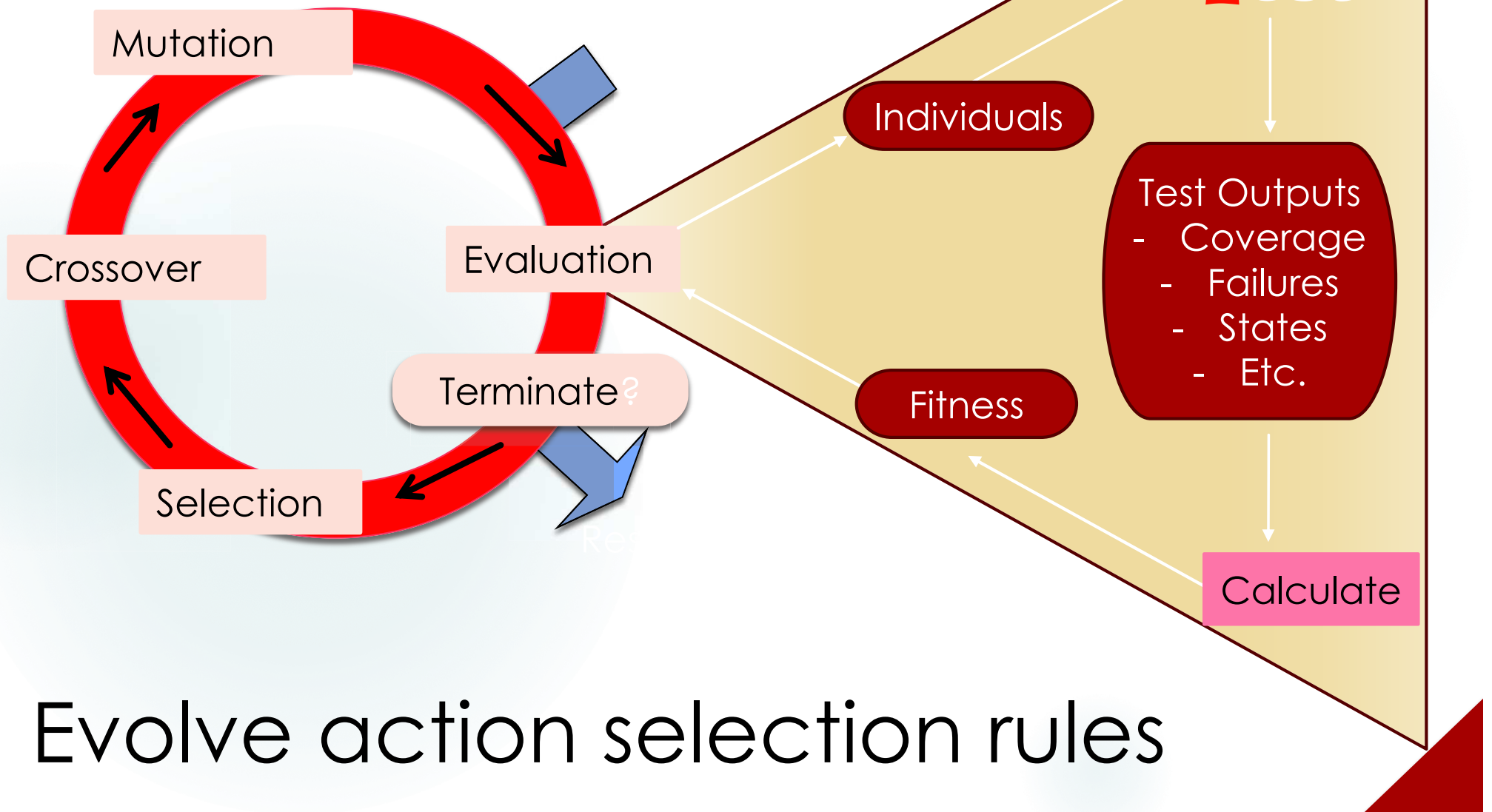
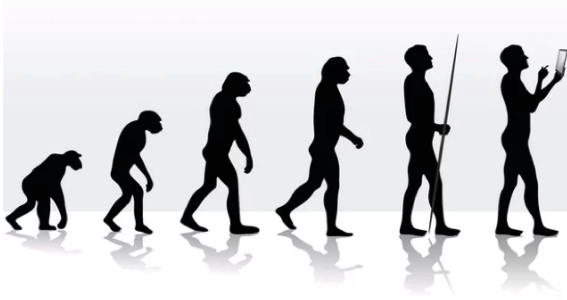
- ▶ Collectively ants can solve complex tasks
- ▶ Ants communicate using pheromones
 - ▶ They lay this on their path
 - ▶ Pheromone trail strength accumulates when multiple ants use a path
 - ▶ Other ants go where there is good pheromone strength



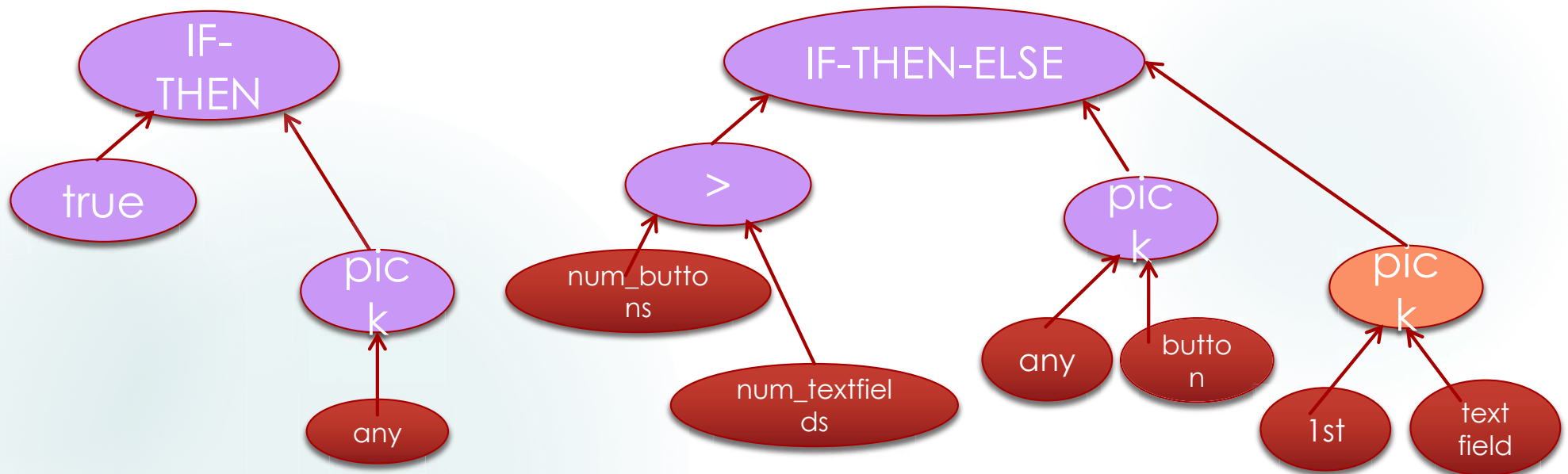
Ant Colony Optimization

- We have a population of ants
- Set of choices \mathcal{C} (= actions)
- The ants generate trails (= test sequences)
- By choosing c_i according to pheromone values p_i (= selection criteria)
- Choices (= actions) that appear in “good” trails (= max call tree) accumulate pheromones

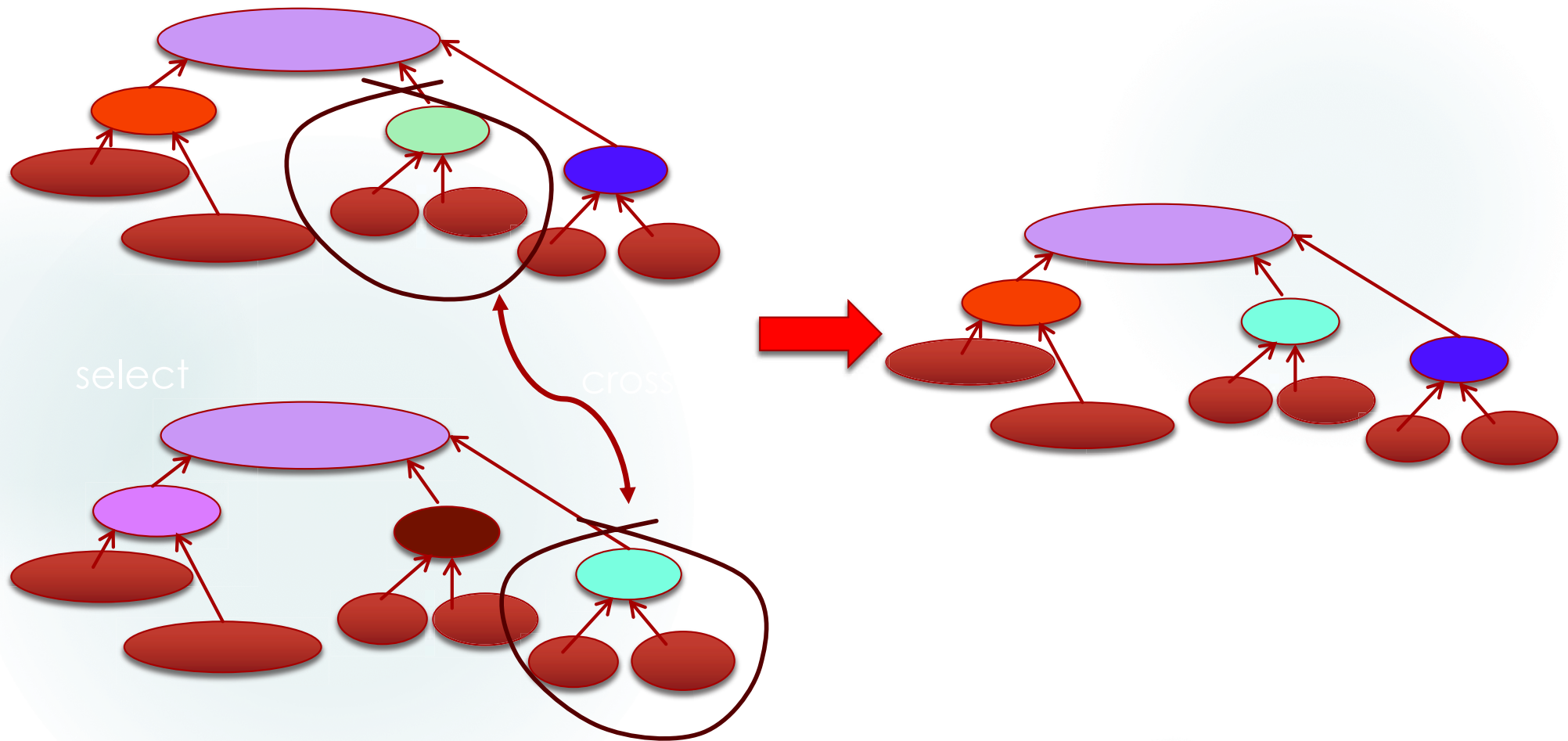




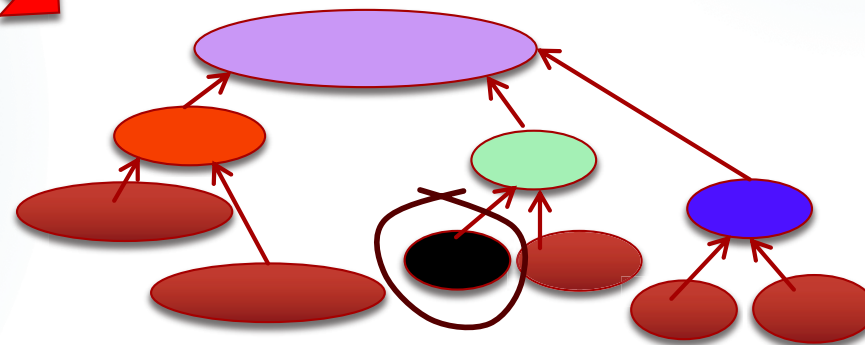
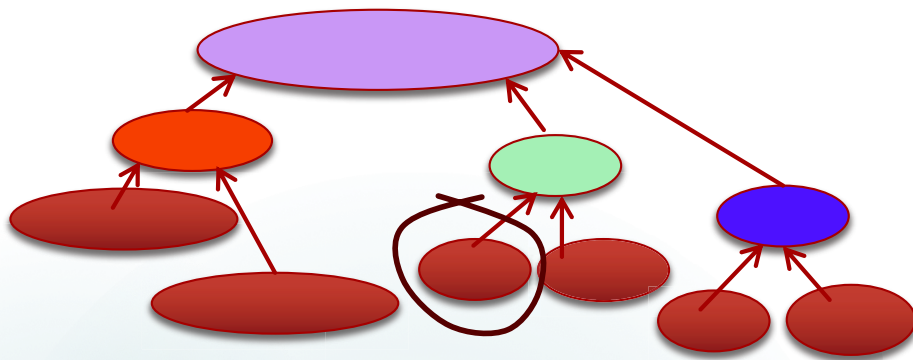
Action selection rules

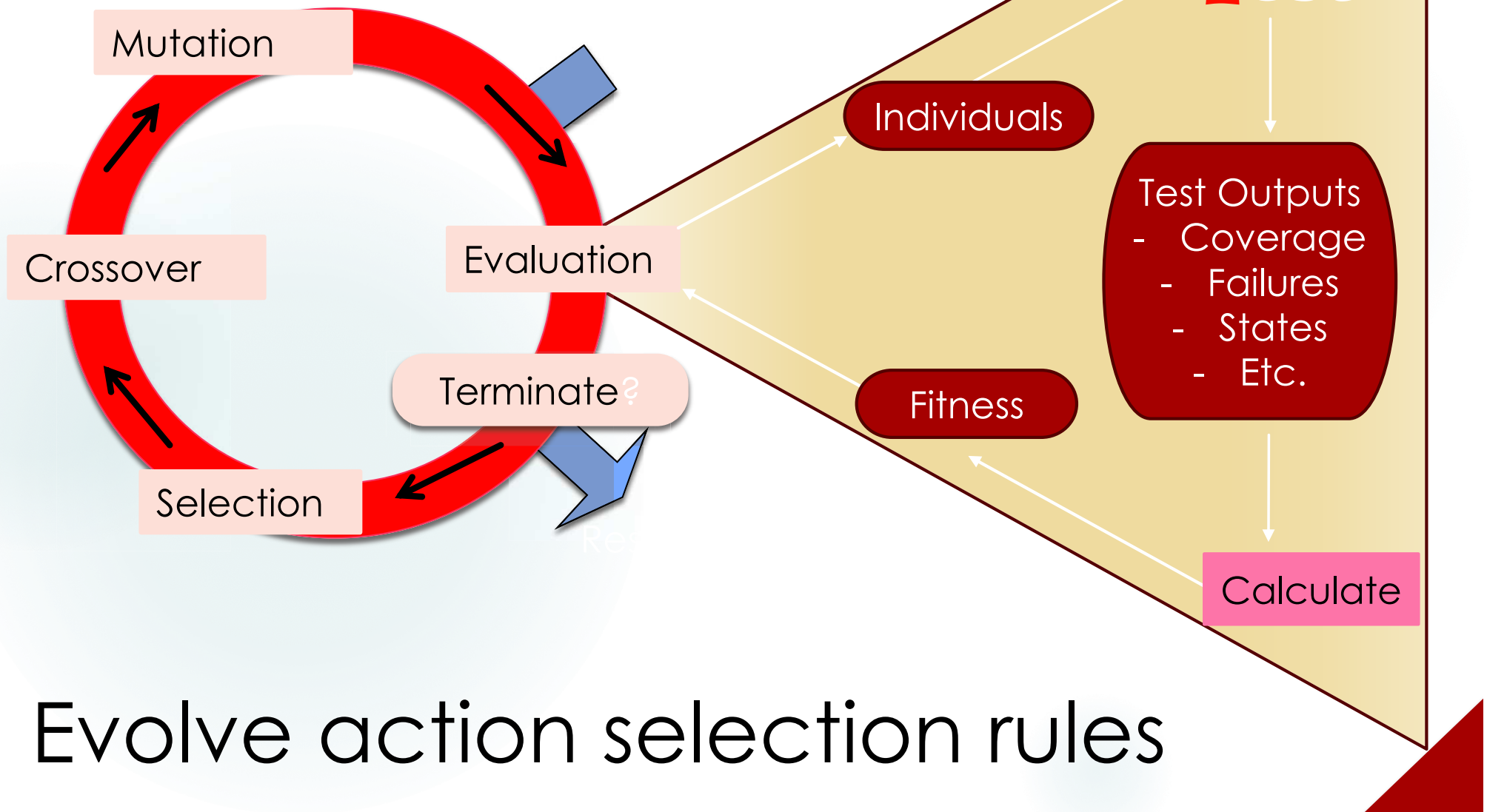
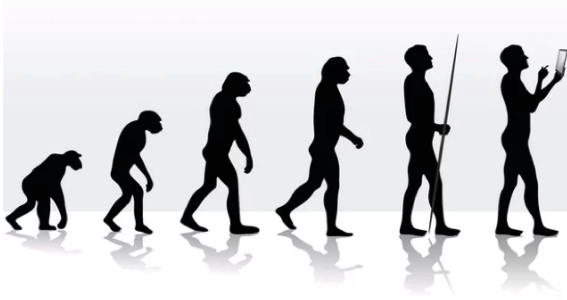


Crossover



Mutation





TESTAR towards 2025

- ▶ Let the testing tool learn itself how to test!
 - ▶ Use different machine learning algorithms (action selection/oracles)
 - ▶ Define more surrogate measures
- ▶ Learn from what the tool tests
 - ▶ Show that surrogate measures work
 - ▶ Relate them to (type of) failures
 - ▶ Extract models to aid exploratory testing
 - ▶ Improve visualisation
- ▶ More formal testing theory
 - ▶ Know better whether we have done well
- ▶ Reduce the human oracle cost:
 - Automate as much as possible all other test tasks
 - Make it as easy as possible for the tester



TESTAR Training @ TNO

- ▶ 15 and 16th of May 2018
- ▶ TNO in Groningen
- ▶ Training, hands-on and helpdesk!
- ▶ Interested?
- ▶ Send me an email.



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